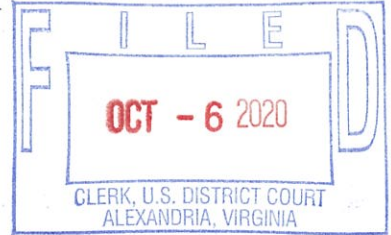


IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF VIRGINIA  
Alexandria Division



MICROSOFT CORPORATION, a  
Washington corporation, and FS-ISAC, INC.,  
a Delaware corporation,

Plaintiffs,

v.

JOHN DOES 1-2, CONTROLLING A  
COMPUTER BOTNET AND THEREBY  
INJURING PLAINTIFFS, AND THEIR  
CUSTOMERS AND MEMBERS,

Defendants.

Civil Action No: 1:20 CV 1171

AJT/IDD

**FILED UNDER SEAL**

**COMPLAINT**

Plaintiff MICROSOFT CORP. (“Microsoft”) and FS-ISAC, INC., (“FS-ISAC”) hereby complain and allege that JOHN DOES 1-2 (collectively “Defendants”), have illegally created and are using for criminal purposes a global network of interconnected computers known as “Trickbot.” Trickbot is comprised of computing devices connected to the Internet that Defendants have infected with malicious software (referred to as “malware”), including banking Trojans and distributing various malicious and deadly forms of ransomware. Defendants have used the Trickbot botnet through servers connected to the Internet to infect computers to steal millions of dollars. Unless enjoined and held accountable, Defendants will continue to use Trickbot to steal financial account information, funds, and personal information from millions of individuals as well as extort victims through the use of ransomware. Defendants control Trickbot through a command and control infrastructure (“Trickbot Command and Control Servers”) hosted at and operating through the Internet Protocol addresses (“IP Addresses) set forth in **Appendix A**. Plaintiffs allege as follows:

**NATURE OF THE ACTION**

1. This is an action based upon: (1) the Copyright Act, 17 U.S.C. §§ 101 *eq seq.*; (2)

the Computer Fraud and Abuse Act, 18 U.S.C. § 1030; (3) Electronic Communications Privacy Act, 18 U.S.C. § 2701; (4) Trademark Infringement under the Lanham Act, 15 U.S.C. § 1114 *et seq.*; (5) False Designation of Origin under the Lanham Act, 15 U.S.C. § 1125(a); (6) Trademark Dilution under the Lanham Act, 15 U.S.C. § 1125(c); (7) Common Law Trespass to Chattels; (8) Unjust Enrichment; and (9) Conversion. Plaintiffs seek injunctive and other equitable relief and damages against Defendants who operate and control a network of computers known as the Trickbot Command and Control Servers. Defendants, through their illegal activities involving Trickbot, have caused and continue to cause irreparable injury to Plaintiffs and their customers and members and the public.

## PARTIES

2. Plaintiff Microsoft is a corporation duly organized and existing under the laws of the State of Washington, having its headquarters and principal place of business in Redmond, Washington.

3. Plaintiff FS-ISAC, Inc. is a non-profit corporation duly organized and existing under the laws of Delaware, having its headquarters and principal place of business in Reston, Virginia. FS-ISAC is a membership organization comprised of 4,400 organizations including global transaction banks, regional banks, and payment processors, and over 20 trade associations representing the majority of the U.S. financial services sector. FS-ISAC represents the interests of its financial services industry members in combating and defending against cyber threats that pose risk and loss to the industry.

4. Defendant John Doe 1 controls the Trickbot Command and Control Servers in furtherance of conduct designed to cause harm to Plaintiffs, their customers and members, and the public. Plaintiffs are informed and believe and thereupon alleges that John Doe 1 can likely be contacted directly or through third-parties using the information set forth in **Appendix A**.

5. Defendant John Doe 2 controls the Trickbot Command and Control Servers in furtherance of conduct designed to cause harm to Plaintiffs, their customers and members, and the public. Plaintiffs are informed and believe and thereupon allege that John Doe 2 can likely be contacted directly or through third-parties using the information set forth in **Appendix A**.

6. Defendants own, operate, control, and maintain the Trickbot botnet through a command and control infrastructure hosted at and/or operating at the IP Addresses set forth in **Appendix A**. The command and control infrastructure hosted and operated at the IP Addresses are maintained by the third-party hosting companies set forth at **Appendix A**. Plaintiffs will amend this complaint to allege the Doe Defendants' true names and capacities when ascertained. Plaintiffs will exercise due diligence to determine Doe Defendants' true names, capacities, and contact information, and to effect service upon those Doe Defendants.

7. Plaintiffs are informed and believe and thereupon allege that each of the fictitiously named Doe Defendants is responsible in some manner for the occurrences herein alleged, and that the injuries of Plaintiffs, their customers and members and the public, as herein alleged, were proximately caused by such Defendants.

8. On information and belief, the actions and omissions alleged herein to have been undertaken by John Does 1-2 were actions that Defendants, and each of them, authorized, controlled, directed, or had the ability to authorize, control or direct, and/or were actions and omissions each Defendant assisted, participated in, or otherwise encouraged, and are actions for which each Defendant is liable. Each Defendant aided and abetted the actions of Defendants set forth below, in that each Defendant had knowledge of those actions and omissions, aided and benefited from those actions and omissions, in whole or in part. Each Defendant was the agent of each of the remaining Defendants, and in doing the things hereinafter alleged, was acting within the course and scope of such agency and with the permission and consent of other Defendants.

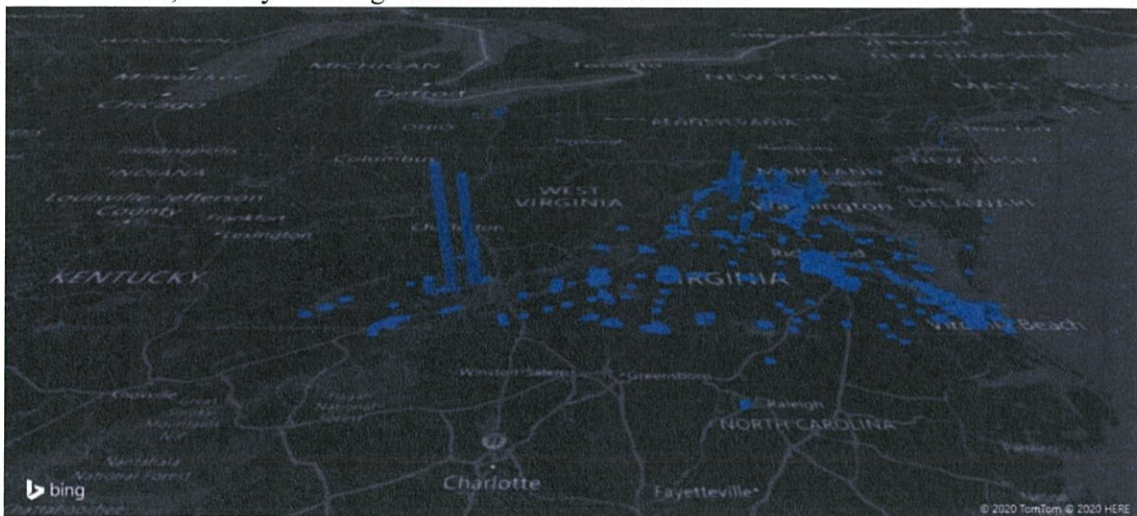
#### **JURISDICTION AND VENUE**

9. The Court has subject matter jurisdiction over this action pursuant to 28 U.S.C. § 1331 because this action arises out of Defendants' violation of The Copyright Act (17 U.S.C. §§101 *et seq.*), The Computer Fraud and Abuse Act (18 U.S.C. § 1030), Electronic Communications Privacy Act (18 U.S.C. § 2701), and the Lanham Act (15 U.S.C. §§ 1114, 1125). The Court also has subject matter jurisdiction over Plaintiffs' claims for trespass to chattels, conversion and unjust enrichment pursuant to 28 U.S.C. § 1367.

10. Venue is proper in this judicial district pursuant to 28 U.S.C. § 1391(b) because a

substantial part of the events or omissions giving rise to Plaintiffs' claims has occurred in this judicial district, because a substantial part of the property that is the subject of Plaintiffs' claims is situated in this judicial district, and because a substantial part of the harm caused by Defendants has occurred in this judicial district. Defendants engage in conduct availing themselves of the privilege of conducting business in Virginia, and utilize instrumentalities located in Virginia and the Eastern District of Virginia to carry out acts alleged herein.

11. Defendants have affirmatively directed actions at Virginia and the Eastern District of Virginia by directing their activities, including theft of funds and information, at individual users located in the Eastern District of Virginia. Defendants have directed malicious computer code at the computers of individual users located in Virginia and the Eastern District of Virginia. Defendants have attempted to and, in fact, have infected such user computers with malicious computer code. That code contains, without authorization, Microsoft's copyrighted computer code and instructions to Microsoft's Windows operating system, which compromises the security of those systems and steals sensitive information and funds from the individual users, all to the grievous harm and injury of Plaintiffs, their customers and members, and the public. **Figure 1**, below, depicts the geographic location of computing devices in the Eastern District of Virginia, against which Defendants are known to have directed malicious code through servers connected to the Internet, thereby enlisting them into the Trickbot botnet.



**Figure 1**

12. Pursuant to 28 U.S.C. § 1391(b), venue is proper in this judicial district. A

substantial part of the events or omissions giving rise to Plaintiffs' claims, together with a substantial part of the property that is the subject of Plaintiffs' claims, are situated in this judicial district. Venue is proper in this judicial district under 28 U.S.C. § 1391(c) because Defendants are subject to personal jurisdiction in this judicial district.

## **FACTUAL BACKGROUND**

### **Plaintiffs' Services and Reputation**

13. Microsoft is one of the world's leading technology companies, providing complete, open, and integrated computer software programs and hardware systems. Microsoft® is a provider of the Windows® operating system, Outlook® email services and Microsoft Word®, Microsoft's word processing software. Microsoft has invested substantial resources in developing high-quality products and services. Due to the high quality and effectiveness of Microsoft's products and services and the expenditure of significant resources by Microsoft to market those products and services, Microsoft has generated substantial goodwill with its customers, establishing a strong brand and developing the Microsoft name and the names of its products and services into strong and famous world-wide symbols that are well-recognized within its channels of trade. Microsoft has registered trademarks representing the quality of its products and services and its brand, including Microsoft,® Windows,® Outlook,® and Word.® Copies of the trademark registrations for these trademarks are attached as **Appendix B** to this Complaint.

14. One of the pillars of Microsoft's comprehensive portfolio of software programs is its Microsoft Windows operating system. Microsoft Windows is a group of proprietary graphical operating system families. Microsoft also spends considerable time and energy building its Windows platform and making it available to third-party developers to create programs that are compatible with Windows. With every Windows release, Microsoft also makes available a software development kit ("SDK"). The SDK is a package of programming tools including creative and original APIs, header files, libraries, documentation, code samples, processes, and guides that developers can use and integrate into their own applications. Microsoft's SDKs are required when developing any application, program, or tool for Microsoft Windows. The code at issue in this case encompasses a type of code called "declarations" within header files and within



libraries contained in the SDK, and referred to in this Complaint as the “Declaring Code.”

15. Microsoft owns copyrights in the code, documentation, specifications, libraries, and other materials that comprise the Windows operating system, including the Declaring Code. Microsoft’s Windows SDK copyrights, encompassing the Declaring Code, are registered with the United States Copyright Office, including those attached as **Appendix C**.

16. Microsoft makes its SDK and the code contained within the SDK, including the Declaring Code, available to the public through a license (“SDK License”). This enables Microsoft to maintain an open platform for third-party developers while preventing malicious actors from using the code in the SDK, including the Declaring Code, in a harmful way. Any developer who downloads Microsoft’s SDK tools, including the Declaring Code, must accept the terms of the SDK License.

17. Microsoft’s SDK License agreements make clear to end users that they are acquiring a license to use the software subject to certain limitations around the use of the software and place certain restrictions, including prohibiting end-users from using certain portions of the software code, including the header files in the SDK and associated Declaring Code, “in malicious, deceptive, or unlawful programs.”

18. Plaintiff FS-ISAC is a trade organization comprised of 4,400 organizations including global transaction banks, regional banks, payment processors headquartered in North America, the European Union, and Asia-Pacific, and over 20 trade associations representing the majority of the U.S. financial services sector. It was established by the financial services sector in response to the 1998 Presidential Directive 63, later updated by the 2003 Homeland Security Presidential Directive 7, which requires that the public and private sectors share information about physical and cyber security threats and vulnerabilities to help protect the United States’ critical infrastructure. Its purpose is “to enhance the ability of the financial services sector to prepare for and respond to cyber and physical threats, vulnerabilities and interests....” FS-ISAC’s activities include actively coordinating and promoting financial industry detection, analysis, and response to cyber security threats. Financial institutions that are members of FS-ISAC have generated substantial goodwill with their customers, establishing a strong brand and developing

their respective names and the names of their products and services into strong and famous world-wide symbols that are well-recognized within their channels of trade.

### **COMPUTER “BOTNETS”**

19. A “botnet” is a collection of individual computers infected with malicious software (“malware”) that allows communication among those computers and centralized or decentralized communication with other computers providing control instructions. A botnet network may be comprised of multiple, sometimes millions, of infected user computers. The individual computers in a botnet often belong to users who have unknowingly downloaded or been infected by malware. A user’s computer, for example, may become part of a botnet when the user inadvertently interacts with a malicious website advertisement, clicks on a malicious email attachment, or downloads malware. In each instance, malware is downloaded or executed on the user’s computer, causing that computer to become part of the botnet. Once part of a botnet, the user’s computer is capable of sending and receiving communications, code, and instructions to or from other botnet computers.

20. Some botnets’ computers are wholly within the control of the botnet creators. These may have specialized functions, such as sending control instructions to infected user computers. These are generally referred to as “command and control” computers.

21. Criminal organizations and individual cybercriminals often create, control, maintain, and propagate botnets in order to carry out misconduct that harms others’ rights. They use botnets because of botnets’ ability to support a wide range of illegal conduct, their resilience against attempts to disable them, and their ability to conceal the identities of the malefactors controlling them. The controllers of a botnet will use an infected user computer for a variety of illicit purposes, unknown to the end user. A computer in a botnet, for example, may be used to:

- a. carry out theft of credentials and information, fraud, computer intrusions, or other misconduct;
- b. anonymously send unsolicited bulk email without the knowledge or consent of the individual user who owns the compromised computer;
- c. deliver further malware to infect other computers; or

- d. “proxy” or relay Internet communications originating from other computers, in order to obscure and conceal the true source of those communications.

22. Botnets provide a very efficient means of controlling a large number of computers and means of targeting any action internally against the contents of those computers or externally against any computer on the Internet.

### **OVERVIEW OF TRICKBOT**

23. Trickbot is a prolific and globally diverse financial theft and malware distribution botnet. The Trickbot botnet is comprised of over a million infected end user computers, of the type commonly found in businesses, living rooms, schools, libraries, and Internet cafes around the world. Trickbot specializes in distributing ransomware, infecting end user computers in order to steal financial account credentials and funds, steal personal information or to install other forms of malware such as ransomware. The precise identities and locations of those behind the activity are generally unknown. Trickbot targets Plaintiffs’ customers or member organizations, including end users who use Microsoft’s operating system and online financial account infrastructure of FS-ISAC’s financial services industry members.

24. Defendants use the Trickbot botnet primarily to gain access to account credentials for online banking websites to steal—among other things—funds from computer users and financial institutions. When a user of a Trickbot-infected computer attempts to log onto a financial institutions website, Trickbot (a) secretly hijacks the user’s web browser, (b) captures the user’s online financial login credentials and other personal identifying information, and (c) sends that information to Defendants. The user is unaware of Trickbot’s activity as Defendants have designed Trickbot to hide itself and its unlawful activity on infected computers. After Trickbot captures the user’s login credentials and personal identifying information, Defendants use that information, for example, to access the user’s bank account. The user perceives only a normal login and is unaware of Defendants’ surveillance and control of their computer and theft of their identity and of funds from their account.

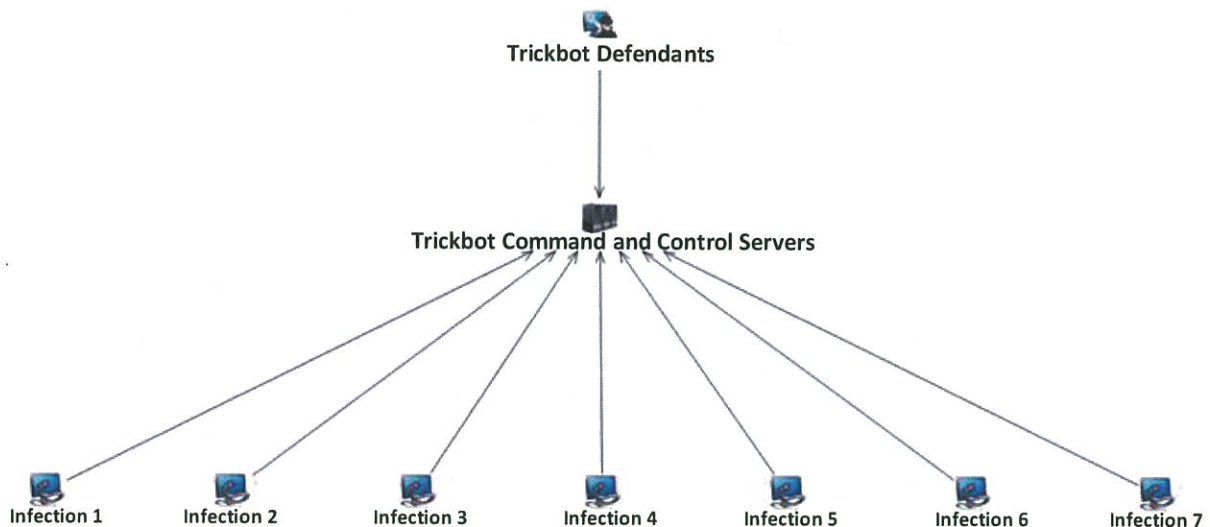


## TRICKBOT'S COMMAND AND CONTROL SERVERS

25. After Trickbot infects a victim computing device, it connects over the Internet to one of its pre-programmed command and control servers. In its first communication, it sends the command and control server the victim computer's IP address, the version of Windows running on the computer, a unique computing device identifier and a machine language identifier. At this point, it is ready to begin executing commands sent to it by the Defendant botnet operators.

26. The primary command and control communications channel between infected victim computers and Defendants' command and control computers is comprised of particular IP addresses associated with servers directly controlled by Defendants, reflected in **Appendix A**. This is referred to as the "Trickbot Command and Control Servers." An IP address can be thought of as the physical location on the Internet of a particular computer. An "IP address" is a unique string of numbers separated by a period, such as "149.154.152.161" that identifies each computer attached to the Internet. Defendants must lease such computers from companies that provide "hosting" services, and which assign to those computers particular IP addresses. The hosting company refers to a type of company that specializes in offering computer hardware, software, connection to the Internet, technical support, and other services to companies and individuals seeking to have some presence on the Internet.

27. **Figure 1** reflects the relationship between the Trickbot Command and Control Servers and infected computers:



**Figure 1**

## TRICKBOT'S INITIAL INFECTION OF VICTIM DEVICES

28. Defendants use various means of infecting end-user computers. Trickbot arrives into a victim's system either by being delivered through malicious links or attachments in spam email or delivery through other forms of malware. Defendants conduct spam email campaigns, involving unsolicited emails that direct users to download the Trickbot malware from malicious websites or trick the user into opening malicious attachments, masquerading as Microsoft Word documents. The following **Figure 2** shows a deceptive phishing email leveraging Microsoft's Word trademark and deceiving the user through use of a fraudulent "tax" related theme.

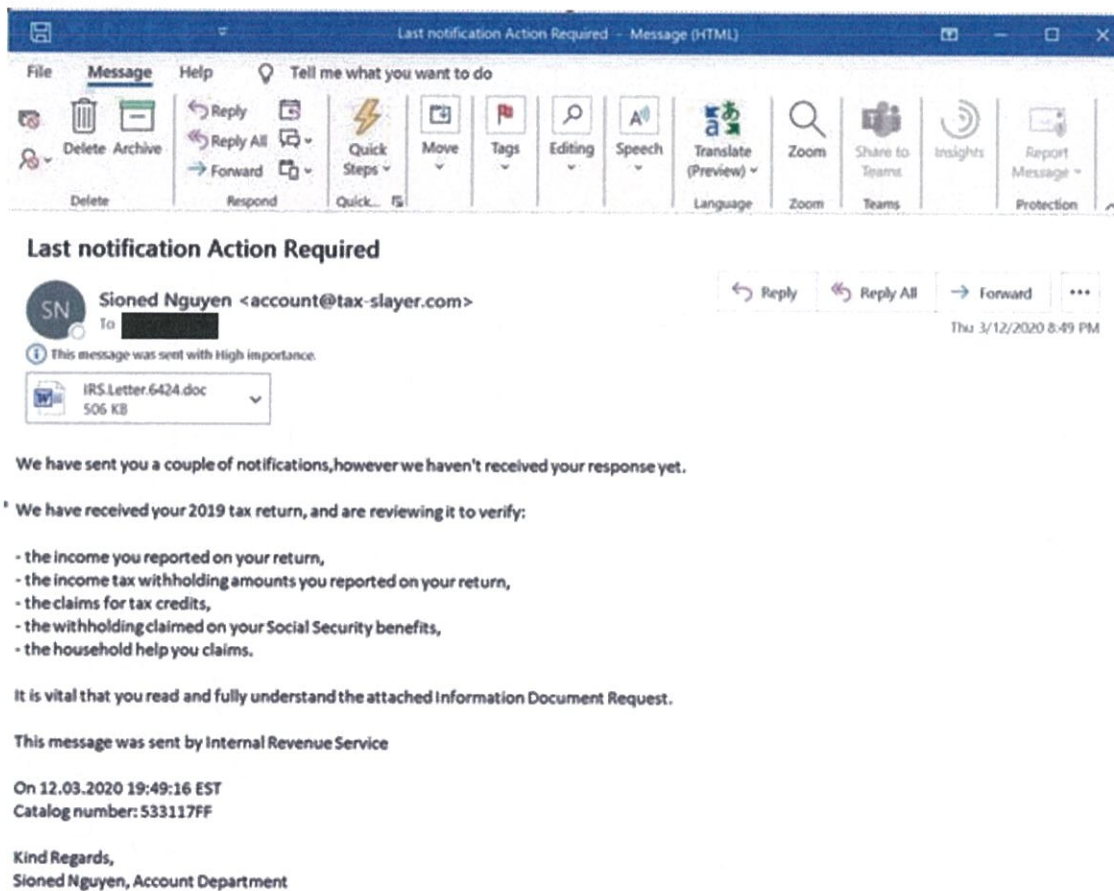


Figure 2

29. Once on a system, Trickbot utilizes its rootkit capabilities to disable a large number of security applications, among them Windows Defender, both to protect itself and other malware on the infected system.

30. The user of the infected computer is unaware of Trickbot's activity as Defendants have designed Trickbot to hide itself and its unlawful activity on infected computing devices in part by disabling the security defenses of the user's device. The operating system still purports to be Windows, but, in fact, Trickbot has corrupted and thereby converted the Windows operating system into instruments of fraud aimed directly at the user of the computing device. The typical user is unaware of Defendants intrusion, theft, surveillance and control of their computing device.

31. In addition to targeting user's credentials, the Defendants also utilize malware – the most common being indigenous implants named “Ryuk,” “CobaltStrike,” and “Mimikatz” – to compromise systems, distribute ransomware, and steal data from victim systems. The Defendants use Microsoft's trademarks to cause victims to download attachments appearing to be legitimate, including for example Microsoft Word attachments, but which result in installation of this malware on the victims' computers. Once installed on a victim's computer, this malware exfiltrates information from the victim computer, maintains a persistent presence on the victim computer, and waits for further instructions from the Trickbot defendants.

32. During Trickbot's initial infection on the victim computer, the infected device will run the malware's executable file, creating a folder inside the *%APPDATA%* local user folder. The malware will then change a number of settings in the user's Windows scheduled tasks. Trickbot achieves this by writing entries to Windows registry and folder paths, modifies the system processes that contain the “Microsoft” and “Windows” trademarks. The following are examples of such Trickbot registry paths:

- *%WINDIR%\System32\Tasks\*, for example Ex: *C:\Windows\System32\Tasks\*
- *%WINDIR%\Tasks\*, for example *C:\Windows\Tasks\*
- *HKLM\SOFTWARE\Microsoft\WindowsNT\CurrentVersion\Schedule\TaskCache\Tasks\*
- *HKLM\SOFTWARE\Policies\Microsoft\Windows Defender\*
  - *DisableAntiSpyware*

- *HKLM\SOFTWARE\Policies\Microsoft\Windows Defender\Real-Time Protection*
  - *DisableBehaviorMonitoring*
  - *DisableOnAccessProtection*
  - *DisableScanOnRealtimeEnable*
  - *DisableIOAVProtection*

33. From there, details and information from the victim computer are saved to victim's computer and sent to one of the command and control servers of the Trickbot defendants, who then send additional instructions and commands to the victim's computer, and can exfiltrate additional stolen information from that computer. By specifically targeting Microsoft's Windows operating system and utilizing registry and file paths containing Microsoft's trademarks, in order to deceive users and carry out the fraudulent scheme, the Trickbot defendants infringe Microsoft's trademarks and deceptively use those trademarks in the context of Microsoft's Windows operating system.

#### **TRICKBOT'S LITERAL COPYING OF MICROSOFT'S COPYRIGHTS**

34. Trickbot is an active, sophisticated, and modular botnet, which enables its operators to easily add or remove capabilities. Once this initial infection period is complete, the infected device will start to communicate with the Trickbot Command and Control Servers to download additional malware modules. These secondary malware infections make further changes to the computer device, including by adding files, changing registry settings, opening additional backdoors that allow remote control by other cybercriminals, altering the integrity of certain software contained in Windows, such as Internet Explorer, Edge, or Outlook, and allowing further sets of malwares to be downloaded onto the computing device.

35. Depending on the intention of Trickbot's operators for a particular intrusion, Trickbot can download and deploy from the Trickbot Command and Control Servers various modules that provide varying forms of functionality and criminal activity, as shown in **Figure 3**. Trickbot contains several reconnaissance modules that were updated precisely for the function of going back and evaluating whether a system is worthy of revictimization with ransomware. Once a victim system is identified as a potential target for ransomware, the Trickbot Defendants will

deploy an additional payload that carries out additional reconnaissance functionality (using tools such as CobaltStrike and Mimikatz) and finally deploys the Ryuk ransomware on the victim system. The modules are sent with a configuration file. Our investigation has seen Trickbot modules—also referred to as secondary malware infections—with the following names and purposes:

<b>Figure 3</b>	
<b>Module</b>	<b>Purpose</b>
<b>injectDII</b>	Main banker module using “static” and “dynamic” web browser injection and data theft
<b>networkDII</b>	A reconnaissance module that gathers network and system information for the purpose, among many, to determine if the victim machine meets criteria for revictimization with ransomware
<b>Systeminfo</b>	Gathers system information
<b>tabDII</b>	Propagate Trickbot via EternalRomance Exploit
<b>wormDII</b>	Propagate Trickbot via SMB - EternalBlue Exploit
<b>shareDII</b>	Propagate Trickbot via Windows Network Shares
<b>vncDII / BCTestDII</b>	Remote control/Virtual Network Computing module to provide backdoor for further module downloads
<b>rdpscanDII</b>	Launch brute-force attacks against selected Windows systems running a Remote Desktop Protocol (RDP) connection exposed to the Internet
<b>mailsearcher</b>	Searches all files on disk and compares their extensions to a predefined list to harvest email addresses
<b>outlookDII</b>	Gather Outlook credentials
<b>importDII</b>	Gather browser data
<b>psfin</b>	Gather point of sale software credentials
<b>squIDII</b>	Gather email addresses stored in SQL servers
<b>aDII</b>	Execute various commands on a Windows domain controller to steal Windows Active Directory Credentials
<b>pwgrab</b>	Gather credentials, autofill data, history and so on from browsers

36. Each module has a configuration file containing code required to enable the module to interact with the Windows operating system to perform their malicious tasks. The configuration codes are hosted at IP addresses associated with Trickbot’s Command and Control

Servers. Once activated, modules will connect over the Internet to the Command and Control Servers to load the configuration codes the module requires to perform its malicious tasks.

37. The configuration codes contain literal verbatim copying of the code expressions, organizations, and hierarchies of hundreds of lines of Declaring Code from Microsoft's SDK. Thus, each malicious malware module contains literal copying of the Declaring Code.

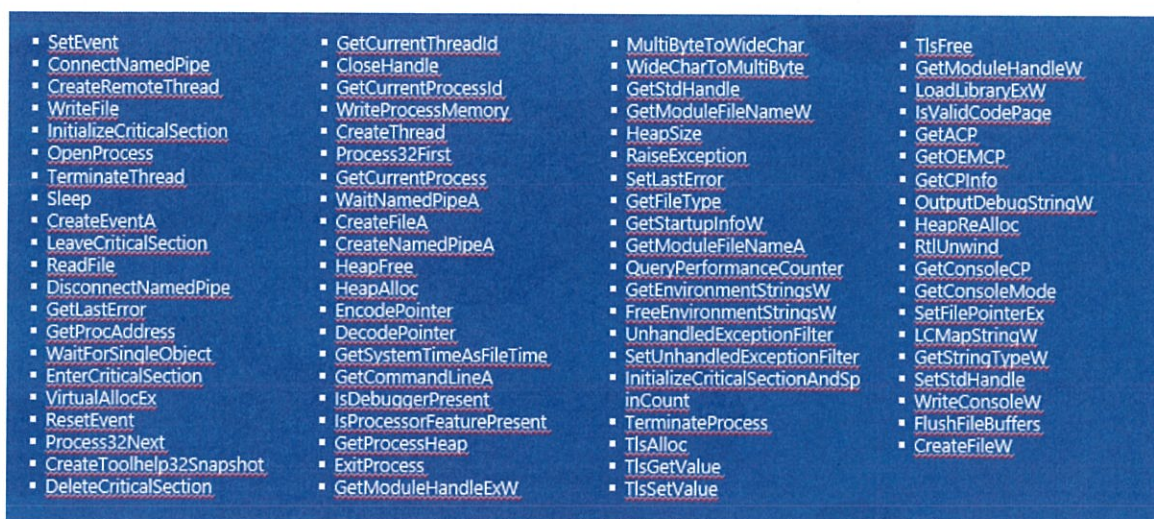
38. The Trickbot authors' voluminous, unauthorized, and illegal misappropriation of the Declaring Code has been crucial to Trickbot's attempts to infiltrate victim devices and steal financial information. In this way, the Trickbot authors are using without authorization Microsoft's own copyrighted Declaring Code in order to target and attack any computing device running Microsoft Windows operating system, infiltrate Windows' functionalities, and alter the integrity of certain software contained in Windows.

39. For example, within the malicious Trickbot "injectDll" file, the Defendants copied literal code and the structure sequence and organization of Windows code such as the AdjustTokenPrivileges, TerminateThread, LookupPrivilegeValueW, RevertToSelf, DuplicateTokenEx, OpenProcessToken, LoadLibrary, GetProcAddress, GetCurrentProcess, CloseHandle code and many other Windows code elements. The following is a representative example of such literally infringed source code:

```
// declaration of function pointer for advapi32.dll
typedef BOOL (*AdjustTokenPrivileges)(
    HANDLE          TokenHandle,
    BOOL            DisableAllPrivileges,
    PTOKEN_PRIVILEGES NewState,
    DWORD           BufferLength,
    PTOKEN_PRIVILEGES PreviousState,
    PDWORD          ReturnLength
);
```

40. The following chart includes a few representative examples of the hundreds of lines of Microsoft's Declaring Code and the structure, sequence, and organization of that code that are copied within and across the numerous Trickbot modules:





**Figure 4**

**HARM TO PLAINTIFFS AND THEIR CUSTOMERS, MEMBER ORGANIZATIONS, AND THE PUBLIC**

41. Defendants inflict severe harm on individuals whose computing devices is infected with the Trickbot malware. Once a computing device is infected with Trickbot, Defendants can use the victim’s computer to steal the victim’s online banking credentials and funds from their online financial accounts, constantly monitor their online activities, send commands and instructions to the infected computing device to control it surreptitiously and deliver malware that, among other things, enables Defendants to take control of the victim’s computer and extort money from them. Defendants’ primary goal, as made evident by the Trickbot functionality, is to deliver financial theft malware, deliver ransomware, enable attacks against other computers and to steal online account login IDs, passwords, and other personal identifying information.

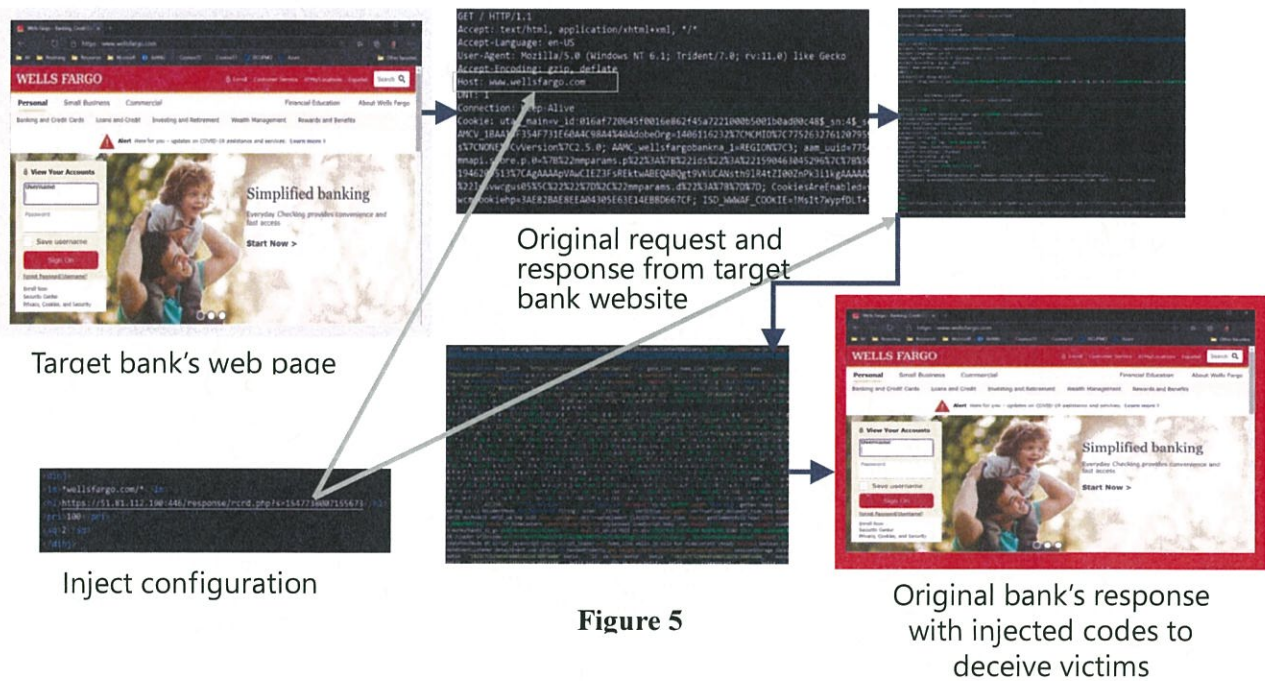
42. A Trickbot attack begins when Trickbot detects the user’s attempt to connect to a financial institution’s website. When this occurs, Trickbot can proceed in several ways. Trickbot can, for example, engage in a “web-inject”<sup>1</sup> attack, sometimes also referred to as a “man-in-the-browser” attack, monitors the victim’s activity and detects when the victim is navigating via their browser to the online portals of a wide variety of financial institutions, including global

<sup>1</sup> A web inject is code that manipulates the appearance of a website, such as an online banking website, before it is rendered on the web browser. This has the effect of modifying rendered website content to achieve any number of goals the malicious actor chooses, such as adding, removing, or modifying text, inserting new or different form fields requesting personal or security information to deceive the user, and capturing such data entered by the victim into fields.



transaction banks, regional banks, and payment processors. When the module detects that the user is visiting such a website, it utilizes the web inject method to either send the user to a fake website that mimics the financial institution or to alter or replace content or display additional fields in the website as it appears to the victim in their browser. In this way, the victim believes that they are at the legitimate online financial website, when in fact they are seeing either an entirely fake version of the website to which the Trickbot module has diverted them, or a version of the website that has been manipulated by Defendants.

43. Regardless which method is used the effect is the same. When the user types their login credentials into the website or types additional information into fraudulent fields injected by the Defendants (such as pin codes, answers to security questions or other personal information), the Defendants are able to intercept that information and use it to log into the user’s online accounts. The Defendants can then initiate funds transfers, resulting in theft of the victim’s money. This process is reflected in **Figure 5** is but one example of a web inject targeting a particular financial institution among hundreds globally.



**Figure 5**

44. The Trickbot malware infection further harms Plaintiffs’ customers, member

organizations, and the public by damaging the end user computing devices and the software installed on those devices licensed from Microsoft, including degrading the integrity of the computers and the operating system, intruding into those devices, disabling some of those systems' antivirus software, and carrying out malicious actions from those computers and directed toward the owners of those computers. During the infection of a user's device, the Trickbot malware makes changes at the deepest and most sensitive levels of the device's operating system. Additionally, it makes fundamental changes at the level of the Windows registry. Microsoft's customers whose computing devices are infected with the malicious software are damaged by these changes to Windows, which alter the normal and approved settings and function of the user's operating system, destabilize it, and forcibly draft the customers' devices into the botnet.

45. Trickbot severely damages the computing devices it infects, making low-level changes to the operating system and disabling the primary security defense of most computing devices by blocking the computing device from getting anti-virus software updates. In fact, Trickbot is specifically designed to disable known antivirus products, including Windows Defender, Sophos, and Malwarebytes, that would otherwise protect devices from the Trickbot malware. As one example, Trickbot is designed to target Windows Defender by attacking the Registry settings and performing the following steps:

- a. Disable and then delete the WinDefend service.
- b. Terminates the MsMpEng.exe, MSASCuiL.exe, and MSASCui.exe processes.
- c. Adds the DisableAntiSpyware Windows policy and sets it to true to disable Windows Defender and possibly other software.
- d. Disables Windows Security notifications.
- e. Disables Windows Defender real-time protection.

46. As a result, Trickbot not only cripples the security mechanism that might result in removal of Trickbot from the computing device, it may leave victim's computing devices exposed to against many other types of malware.

47. Once a computing device is infected, the Windows operating system cease to operate normally and are transformed into tools of deception and theft. But Windows still bears Microsoft's trademarks. This is obviously meant to and does mislead Microsoft's customers, and it causes extreme damage to Microsoft's brands and trademarks. Trademark registrations for the

marks infringed by Defendants are attached to this complaint as **Appendix B**.

48. Customers who experience degraded performance of Microsoft's product may attribute such poor performance to Microsoft, causing extreme damage to Microsoft's brands and trademarks and goodwill associated there with. Even customers who eventually come to learn their computing devices are infected with malware may incorrectly attribute the infection to vulnerabilities in Microsoft's products, because many customers are unaware that they have fallen prey to Defendants' attacks.

49. Moreover, as a provider of Windows, Microsoft devotes significant computing and human resources to combating Trickbot and other malware infections and helping customers determine whether or not their computing devices are infected and, if so, cleaning them. Not only does Microsoft expend resources in helping users combat Trickbot, these efforts require in-depth technical investigations and extensive efforts to calculate and remediate harm caused to Microsoft's customers. Microsoft, as a provider of the Windows operating systems, must also incorporate security features in an attempt to stop installation of the Trickbot malware and other malicious software that is distributed by the Trickbot botnet. Microsoft has expended significant resources to investigate and track the Trickbot Defendants' illegal activities and to counter and remediate the damage caused by the Trickbot botnet to Microsoft, its customers, and the general public.

50. The Trickbot malware is designed to enable other criminal actors to transmit additional types of malware to infect end user computing devices. Each of the malware module infections makes further changes to the user's computing device, including by adding files, changing registry settings, opening additional backdoors that allow control by other cybercriminals, and allowing yet further sets of malware to be downloaded onto the computing device. All of these malware variants are designed to attack computing devices running Microsoft Windows operating systems and may themselves be connected to other criminal botnet infrastructure beyond Trickbot receiving additional commands.

51. One method Trickbot uses to infect victim devices is phishing emails. FS-ISAC members have reported Trickbot malware and phishing related attacks in the thousands just in

September 2020 alone. Trickbot attempted to steal over \$7 million from FS-ISAC members. The average amount Trickbot attempted to steal in each attack was over \$268,000.

52. Trickbot is also designed to download and spread secondary malware onto Trickbot-infected computers. For example, Trickbot can also distribute malicious code such as CobaltStrike and Mimikatz, which enable ransomware deployment, movement within victim systems and extraction of victim credentials. Trickbot infects a victim's system by being downloaded by other malware, such as the malware called "Emotet," or being delivered through spammed email attachments or malicious advertisements. Also, as indicated above, once installed, Trickbot can propagate itself throughout a network using the EternalRomance and EternalBlue exploits, or by means of Windows Network Shares.

53. One form of malicious code Trickbot delivers is ransomware. Ransomware is a form of malware designed to prevent victims from accessing their systems or personal files and demands ransom payment in order to regain access. Ransomware can have devastating effects. On information and belief, a recent Trickbot-associated ransomware attack on a German hospital crippled its IT network and contributed to the death of a woman who was unable to obtain emergency treatment. Ransomware has even been cited by the Department of Homeland Security as having the potential to disrupt infrastructure that will be used in the 2020 election. Further, on information and belief, ransomware was recently credited for attacking a company that sells software that cities and states use to display results on election night.

54. Trickbot distributes the Ryuk crypto-ransomware, a form of ransomware that encrypts a victim user's files, folders, and hard-drives and demands a ransom in Bitcoin or other cryptocurrency to retrieve the data. Ryuk is a sophisticated crypto-ransomware because it identifies and encrypts network files and disables certain Windows functionalities that prevent the user from being able to recover from the attack without external backups. On information and belief, Ryuk has been credited with attacking organizations, including municipal governments, state courts, hospitals, nursing homes, enterprises, defense contractors and large universities.

55. To carry out the intrusion into computing devices, Defendants cause the Trickbot malware to make repeated copies of Microsoft's trademarks onto computing devices, in the form

of file names, target names, and/or registry paths containing the trademarks “Microsoft” and “Windows.” These uses of Microsoft’s trademarks are designed to cause the intrusion into the user’s computing device and to confuse the user into believing that the software installed is a legitimate part of the Windows operating system, when it is not.

56. Similarly, in creating deceptive versions of financial institution web pages, the Defendants make and use counterfeit copies of the trademarks of financial institutions that are FS-ISAC members, including but not limited to the trade names of such financial institutions and the trademark logos of these institutions. Defendants use those counterfeit trademarks to deceive consumers and to carry out schemes enabling the theft of online banking credentials. This activity causes injury to the FS-ISAC member institutions, by diminishing their brands and goodwill. This activity causes injury to the FS-ISAC member institutions and their customers by causing confusion to consumers and victims of such schemes by leading them to believe that the counterfeit trademarks and webpages created by the Trickbot botnet originate from the legitimate brand owner when, in fact, Trickbot alters them in a way that facilitates account fraud.

### **FIRST CLAIM FOR RELIEF**

#### **COPYRIGHT INFRINGEMENT, 17 U.S.C. §§ 101 *et seq.***

57. Plaintiffs incorporate by reference each and every allegation set forth in paragraphs 1 through 56 above.

58. Microsoft owns copyrights in the code, documentation, specifications, libraries, and other materials that comprise the Windows system and the associated SDK.

59. By Defendants’ actions alleged above, Defendants have infringed and will continue to infringe, the Declaring Code by, *inter alia*, distributing, and creating derivative works in their malicious software, which includes code that is literally copied from, substantially similar to and derived from the Declaring Code, in violation of Microsoft’s exclusive rights at least under 17 U.S.C. § 101 *et seq.* without any authorization or other permission from Microsoft.

60. Defendants have reproduced and distributed the Trickbot code containing Microsoft’s Declaring Code on devices leased from hosting companies that provide “hosting

services,” which assign to those devices particular IP addresses to have a presence on the Internet. Defendants use the hosting services to transmit the malicious software through the Internet to the victims. Such use is not authorized. Defendants have thus induced, caused, and materially contributed to the infringing acts of others by inducing, allowing, and assisting others to copy and distribute the infringing code.

61. Defendants’ infringement of Microsoft’s copyrights has been deliberate, willful, and in utter disregard of Microsoft’s rights.

62. Defendants have realized unjust profits, gain, and advantages as a proximate result of their infringement.

63. Defendants will continue to realize unjust profits, gain, and advantages as a proximate result of their infringement as long as such infringement is permitted to continue.

64. As a direct and proximate result of Defendants’ willful copyright infringement, Microsoft has suffered, and will continue to suffer, monetary loss to its business, reputation, and goodwill. Microsoft is entitled to recover from Defendants, in amounts to be determined at trial, the damages it has sustained and will sustain, and any gains, profits, and advantages obtained by Defendants as a result of Defendants’ acts of infringement and use and publication of copied materials.

65. Microsoft is entitled to an injunction restraining Defendants from engaging in any further such acts in violation of the United States copyright laws. Unless Defendants are enjoined and prohibited from infringing Microsoft’s copyrights, inducing others to infringe Microsoft’s copyrights, and unless all infringing products and advertising materials are seized, Defendants will continue to intentionally infringe and induce infringement of Microsoft’s registered copyrights.

## **SECOND CLAIM FOR RELIEF**

### **Violation of the Computer Fraud & Abuse Act, 18 U.S.C. § 1030**

66. Plaintiffs incorporate by reference each and every allegation set forth in paragraphs 1 through 65 above.

67. Defendants knowingly and intentionally accessed and continue to access protected

computers without authorization and knowingly caused the transmission of a program, information, code and commands, resulting in damage to the protected computers, the software residing thereon, and Microsoft.

68. Defendants' conduct involved interstate and/or foreign communications.

69. Defendants' conduct has caused a loss to Microsoft during a one-year period aggregating at least \$5,000.

70. Plaintiffs seek injunctive relief and compensatory and punitive damages under 18 U.S.C. §1030(g) in an amount to be proven at trial.

71. As a direct result of Defendants' actions, Plaintiffs have suffered and continue to suffer irreparable harm for which there is no adequate remedy at law, and which will continue unless Defendants' actions are enjoined.

### **THIRD CLAIM FOR RELIEF**

#### **Violation of Electronic Communications Privacy Act, 18 U.S.C. § 2701**

72. Plaintiffs incorporate by reference each and every allegation set forth in paragraphs 1 through 71 above.

73. Microsoft's Windows operating system software, and Microsoft's customers' computers running such software, and the online financial account infrastructure of FS-ISAC's member financial institutions are facilities through which electronic communication service is provided to users and customers.

74. Defendants knowingly and intentionally accessed the Windows operating system and FS-ISAC's members' online financial account infrastructure, and associated software, services and computers upon which this software and services run without authorization or in excess of any authorization granted by Microsoft or FS-ISAC's members.

75. Through this unauthorized access, Defendants intercepted, had access to, obtained and altered, and/or prevented legitimate, authorized access to, wire and electronic communications transmitted through the computers and infrastructure of Microsoft and its users and FS-ISAC's members and their users.

76. Plaintiffs seek injunctive relief and compensatory and punitive damages in an



amount to be proven at trial.

77. As a direct result of Defendants' actions, Plaintiffs have suffered and continue to suffer irreparable harm for which there is no adequate remedy at law, and which will continue unless Defendants' actions are enjoined.

#### **FOURTH CLAIM FOR RELIEF**

##### **Trademark Infringement Under the Lanham Act – 15 U.S.C. § 1114 *et seq.***

78. Plaintiffs incorporate by reference each and every allegation set forth in paragraphs 1 through 77 above.

79. Defendants have used Microsoft's and FS-ISAC's member institutions' trademarks in interstate commerce.

80. The Trickbot botnets generate and use unauthorized copies of Microsoft's trademarks in fake and unauthorized versions of the Windows® operating system, Outlook®, and Word® software and content, including through the software operating from and through the Trickbot Command and Control Servers, as well as using Microsoft's trademarks in interstate commerce, including Microsoft's federally registered trademarks for the word marks Microsoft®, Windows®, Outlook®, and Word®, among other trademarks. The Trickbot botnets also generate and use unauthorized copies of FS-ISAC member institutions' trademarks. By doing so, Defendants are likely to cause confusion, mistake, or deception as to the origin, sponsorship, or approval of the fake and unauthorized versions of the Windows operating system and software and fake and unauthorized online financial account login webpages.

81. As a result of their wrongful conduct, Defendants are liable to Plaintiffs for violation of the Lanham Act.

82. Plaintiffs seek injunctive relief and compensatory and punitive damages in an amount to be proven at trial.

83. As a direct result of Defendants' actions, Plaintiffs have suffered and continue to suffer irreparable harm for which they have no adequate remedy at law, and which will continue unless Defendants' actions are enjoined.

84. Defendants' wrongful and unauthorized use of Plaintiffs' trademarks to promote,

market, or sell products and services constitutes trademark infringement pursuant to 15 U.S.C. § 1114 *et seq.*

#### **FIFTH CLAIM FOR RELIEF**

##### **False Designation of Origin Under The Lanham Act – 15 U.S.C. § 1125(a)**

85. Plaintiffs incorporate by reference each and every allegation set forth in paragraphs 1 through 84 above.

86. Microsoft's and FS-ISAC member institutions' trademarks are distinctive marks that are associated with Microsoft and FS-ISAC's member institutions and exclusively identify their businesses, products, and services.

87. Defendants make unauthorized use of Microsoft's and FS-ISAC's member institutions' trademarks. By doing so, Defendants create false designations of origin as to tainted Microsoft products and FS-ISAC member institution services that are likely to cause confusion, mistake, or deception.

88. As a result of their wrongful conduct, Defendants are liable to Plaintiffs for violation of the Lanham Act, 15 U.S.C. § 1125(a).

89. Plaintiffs seek injunctive relief and compensatory and punitive damages in an amount to be proven at trial.

90. As a direct result of Defendants' actions, Plaintiffs have suffered and continue to suffer irreparable harm for which they have no adequate remedy at law, and which will continue unless Defendants' actions are enjoined.

#### **SIXTH CLAIM FOR RELIEF**

##### **Trademark Dilution Under The Lanham Act – 15 U.S.C. § 1125(c)**

91. Plaintiffs incorporate by reference each and every allegation set forth in paragraphs 1 through 90 above.

92. Microsoft's and FS-ISAC's member institutions' trademarks are famous marks that are associated with Microsoft and FS-ISAC's member institutions and exclusively identify their businesses, products, and services.

93. Defendants make unauthorized use of Microsoft's and FS-ISAC's member

institutions' trademarks. By doing so, Defendants are likely to cause dilution by tarnishment of Plaintiffs' trademarks.

94. Plaintiffs seek injunctive relief and compensatory and punitive damages in an amount to be proven at trial.

95. As a direct result of Defendants' actions, Plaintiffs have suffered and continue to suffer irreparable harm for which they have no adequate remedy at law, and which will continue unless Defendants' actions are enjoined.

### **SEVENTH CLAIM FOR RELIEF**

#### **Common Law Trespass to Chattels**

96. Plaintiffs incorporate by reference each and every allegation set forth in paragraphs 1 through 95 above.

97. Defendants have used a computer and/or computer network, without authority, with the intent to cause physical injury to the property of another.

98. Defendants have, without authority, used a computer and/or computer network, without authority, with the intent to trespass on the computers and computer networks of Microsoft and its customers and of FS-ISAC's member institutions.

99. Defendants' actions in operating Trickbot result in unauthorized access to Microsoft's Windows operating system software and the computers on which such programs run, as well as unauthorized access to the online financial account infrastructure of FS-ISAC's member institutions, and result in unauthorized intrusion into those computers and theft of information, account credentials, and funds.

100. Defendants intentionally caused this conduct and this conduct was unlawful and unauthorized.

101. Defendants' actions have caused injury to Microsoft and its customers and to FS-ISAC's member institutions, and have interfered with the possessory interests of Microsoft over its software and with the FS-ISAC's member institutions' possessory interests in their respective computers and computer networks.

102. Plaintiffs' seek injunctive relief and compensatory and punitive damages in an

amount to be proven at trial.

103. As a direct result of Defendants' actions, Plaintiffs have suffered and continues to suffer irreparable harm for which there is no adequate remedy at law, and which will continue unless Defendants' actions are enjoined.

#### **EIGHTH CLAIM FOR RELIEF**

##### **Unjust Enrichment**

104. Plaintiffs incorporate by reference each and every allegation set forth in paragraphs 1 through 103 above.

105. The acts of Defendants complained of herein constitute unjust enrichment of the Defendants at the expense of Microsoft and FS-ISAC's member institutions in violation of the common law. Defendants used, without authorization or license, software belonging to Microsoft and online account infrastructure belonging to FS-ISAC's member institutions to facilitate unlawful conduct inuring to the benefit of Defendants.

106. Defendants profited unjustly from their unauthorized and unlicensed use of Microsoft's and FS-ISAC's member institutions' property.

107. Upon information and belief, Defendants had an appreciation and knowledge of the benefit they derived from their unauthorized and unlicensed use of that property.

108. Retention by the Defendants of the profits they derived from their malfeasance would be inequitable.

109. Plaintiffs seek injunctive relief and compensatory and punitive damages in an amount to be proven at trial, including without limitation disgorgement of Defendants' ill-gotten profits.

110. As a direct result of Defendants' actions, Plaintiffs and FS-ISAC's member institutions suffered and continue to suffer irreparable harm for which no adequate remedy at law exists, and which will continue unless Defendants' actions are enjoined.

#### **NINTH CLAIM FOR RELIEF**

##### **Conversion**

111. Plaintiffs incorporate by reference each and every allegation set forth in paragraphs

1 through 110 above.

112. Microsoft owns all right, title, and interest in its Windows operating system software. FS-ISAC's member institutions own all right, title and interest in their online financial account infrastructure. Defendants have interfered with, unlawfully and without authorization, and dispossessed Microsoft of control over its Windows operating system software and dispossessed FS-ISAC's member institutions of control over their online financial account infrastructure.

113. Defendants have, without authority, used a computer and/or computer network, without authority, with the intent to remove, halt, or otherwise disable computer data, computer programs, and computer software from a computer or computer network.

114. Defendants have, without authority, used a computer and/or computer network, without authority, with the intent to cause a computer to malfunction.

115. Defendants have converted funds from FS-ISAC member institutions through unauthorized withdrawals of funds from customer accounts using stolen online banking credentials.

116. Plaintiffs seek injunctive relief and compensatory and punitive damages in an amount to be proven at trial, including without limitation the return of Defendants' ill-gotten profits.

117. As a direct result of Defendants' actions, Plaintiffs and their customers and member institutions suffered and continue to suffer irreparable harm for which no adequate remedy at law exists, and which will continue unless Defendants' actions are enjoined.

#### **PRAYER FOR RELIEF**

WHEREFORE, Plaintiffs prays that the Court:

- A. Enter judgment in favor of Microsoft and against the Defendants;
- B. Declare that Defendants have infringed Microsoft's copyrights;
- C. Declare the substantial likelihood that Defendants will continue to infringe Plaintiffs' intellectual property unless enjoined from doing so;
- D. Declare that Defendants' conduct has been willful and that Defendants have acted

with fraud, malice and oppression;

E. An order that all copies made or used in violation of Microsoft's copyrights and Plaintiffs' trademarks, and all means by which such copies may be reproduced, be impounded and destroyed or otherwise reasonably disposed of;

F. Enter a preliminary and permanent injunction enjoining Defendants and their officers, directors, principals, agents, servants, employees, successors, and assigns, and all persons and entities in active concert or participation with them, from engaging in any of the activity complained of herein or from causing any of the injury complained of herein and from assisting, aiding or abetting any other person or business entity in engaging in or performing any of the activity complained of herein or from causing any of the injury complained of herein;

G. Enter a preliminary and permanent injunction giving Microsoft control over the IP addresses used by Defendants to cause injury and enjoining Defendants from using such instrumentalities;

H. Enter judgment awarding Plaintiffs actual damages from Defendants adequate to compensate Plaintiffs for Defendants' activity complained of herein and for any injury complained of herein, including but not limited to interest and costs, in an amount to be proven at trial;

I. Enter judgment disgorging Defendants' profits;

J. Enter judgment awarding enhanced, exemplary and special damages, in an amount to be proved at trial;

K. Enter judgment awarding attorneys' fees and costs; and

L. Order such other relief that the Court deems just and reasonable.

**DEMAND FOR JURY TRIAL**

Microsoft respectfully requests a trial by jury on all issues so triable in accordance with Fed. R. Civ. P. 38.



Dated: October 6, 2020

Respectfully submitted,

*/s/ Julia R. Milewski*

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*Attorneys for Plaintiffs Microsoft Corp. and FS-  
ISAC, Inc.*

# **APPENDIX A**

APPENDIX A

LIST OF IP ADDRESSES AND HOSTING COMPANIES ASSOCIATED  
WITH TRICKBOT'S COMMAND AND CONTROL SERVERS

<b>IP Addresses of Command and Control Servers</b>	<b>Hosting Companies/Data Centers Where Defendants Have Placed the Command and Control Servers</b>
104.161.32.103 104.161.32.105 104.161.32.106 104.161.32.109 104.161.32.118	Input Output Flood, LLC d/b/a Ioflood 9030 W. Sahara Ave., Suite 703 Las Vegas, NV 89117  Input Output Flood, LLC d/b/a Ioflood c/o Phoenix NAP, LLC d/b/a phoenixNAP 3402 E University Dr. #6 Phoenix, AZ 85034
104.193.252.221	Hosting Solution Ltd. c/o Hurricane Electric LLC 48233 Warm Springs Blvd Fremont, CA 94539  Hurricane Electric LLC 760 Mission Ct. Fremont, CA 94539
107.155.137.19 107.155.137.28 107.155.137.7 162.216.0.163 23.239.84.132 23.239.84.136	Nodes Direct Holdings, LLC 1650 Margaret St Suite 302-351 Jacksonville, FL 32204  Nodes Direct Holdings, LLC 4495 Roosevelt Blvd, Suite 304-241 Jacksonville, FL 32210  Nodes Direct Holdings LLC c/o Cologix, Inc. 421 W. Church St., Suite 429 Jacksonville, FL 32202
107.174.192.162 107.175.184.201	Virtual Machine Solutions LLC 1600 Sawtelle Blvd., Suite 308 Los Angeles, CA 90025

	<p>Virtual Machine Solutions LLC 2801 Robin Rd. Midwest City, OK 73110</p> <p>Virtual Machine Solutions LLC c/o Velocity Servers, Inc. d/b/a ColoCrossing 325 Delaware Ave., Suite 300 Buffalo, NY 14202</p> <p>Velocity Servers, Inc. d/b/a ColoCrossing 8185 Sheridan Dr Buffalo, NY 14221-6002</p>
139.60.163.45	<p>Hostkey USA, Inc. c/o Smyle &amp; Associates 122 East 42nd St., Suite 3900 New York NY 10168</p> <p>Hostkey USA, Inc. c/o Webair Internet Development Company Inc. 501 Franklin Avenue, Suite 200 Garden City, NY 11530</p> <p>Hostkey USA, Inc. c/o Webair Internet Development Company Inc. 1025 Old Country Road Westbury, NY 11590</p> <p>Hostkey USA, Inc. c/o Hurricane Electric LLC 501 Franklin Avenue, Suite 200 Garden City, NY 11530</p> <p>Hurricane Electric LLC 760 Mission Ct. Fremont, CA 94539</p>
156.96.46.27	<p>Fastlink Network, Inc. 624. S. Grand Ave. Los Angeles, CA 90017</p> <p>Fastlink Network, Inc. Fastlink Network – Newtrend Division P.O. Box 17295</p>

	<p>Encino, CA 91416</p> <p>Fastlink Network, Inc. c/o Incorp Services, Inc. 5716 Corsa Ave, Suite 110 Westlake Village, CA 91362</p> <p>Fastlink Network, Inc. 624. S. Grand Ave. Los Angeles, CA 90017</p> <p>Fastlink Network, Inc. and VolumeDrive, Inc. 1143 Northern Blvd. Clarks Summit PA 18411</p> <p>Fastlink Network, Inc. and VolumeDrive, Inc. 9 East Market St Wilkes Barre, PA 18701</p>
<p>195.123.241.13</p> <p>195.123.241.55</p>	<p>Green Floid LLC c/o Business Filings Inc. 1200 South Pine Island Road Plantation, FL 33324</p> <p>Green Floid LLC 119 Grimsby St. – Staten Island New York, NY 10306</p> <p>Green Floid LLC 2707 East Jefferson Street Orlando, FL, 32803</p> <p>Green Floid LLC ITL-Bulgaria Ltd. c/o Equinix, Inc. 1920 E. Maple Ave. El Segundo, CA 90245</p> <p>Equinix, Inc. One Lagoon Dr. Redwood City, CA 94065</p>

	<p>Equinix, Inc. c/o United Agent Group, Inc. 4640 Admiralty Way, 5th Floor Marina del Rey, CA 90292</p>
162.247.155.165	<p>Twinservers Hosting Solutions Inc. 23 Meadowview Circle Nashua, NH 03062</p> <p>Twinservers Hosting Solutions, Inc. c/o DataSite Atlanta BPC, LLC c/o Burges Property &amp; Co. 1130 Powers Ferry Pl. Marietta, GA 30067</p> <p>DataSite Atlanta BPC, LLC Burges Property &amp; Co. 2658 Del Mar Heights Rd. #558 Del Mar, CA, 92014</p> <p>Twinservers Hosting Solutions, Inc. c/o Performive LLC 1130 Powers Ferry Pl. Marietta, GA 30067</p> <p>Performive LLC c/o Holt Ney Zatcoff &amp; Wasserman, LLP 100 Galleria Parkway, Suite 1800 Atlanta, GA, 30339</p>

# **APPENDIX B**



Generated on: This page was generated by TSDR on 2020-09-18 19:00:12 EDT

Mark: WINDOWS

WINDOWS

US Serial Number: 75879977

Application Filing Date: Dec. 22, 1999

US Registration Number: 2463526

Registration Date: Jun. 26, 2001

Register: Principal

Mark Type: Service Mark

TMS Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: The registration has been renewed.

Status Date: Mar. 11, 2011

Publication Date: Apr. 03, 2001

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## Mark Information

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Mark Literal Elements: WINDOWS

Standard Character Claim: No

Mark Drawing Type: 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

Acquired Distinctiveness Claim: In whole

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## Related Properties Information

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Claimed Ownership of US Registrations: 1872264, 1875069, 1989386, 2005901, 2212784

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## Goods and Services

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**Note:**

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks "\*" identify additional (new) wording in the goods/services.

For: providing information over computer networks and global communication networks in the fields of entertainment, music, and interactive games; education services, namely on-line tutorials in the field of computers and computer software

International Class(es): 041 - Primary Class

U.S Class(es): 100, 101, 107

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 26, 1998

Use in Commerce: Jan. 26, 1998

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## Basis Information (Case Level)

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Filed Use: Yes

Currently Use: Yes

Filed ITU: No

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No  
Filed 66A: No  
Filed No Basis: No

Currently 66A: No  
Currently No Basis: No

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## Current Owner(s) Information

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**Owner Name:** Microsoft Corporation  
**Owner Address:** One Microsoft Way  
Redmond, WASHINGTON UNITED STATES 98052  
**Legal Entity Type:** CORPORATION  
**State or Country Where Organized:** WASHINGTON

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## Attorney/Correspondence Information

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**Attorney Name:** Matthew E. Moersfelder  
**Docket Number:** 25936-T423  
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**Correspondent e-mail Authorized:** Yes

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**Domestic Representative - Not Found**

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## Prosecution History

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Date	Description	Proceeding Number
Jun. 26, 2020	COURTESY REMINDER - SEC. 8 (10-YR)/SEC. 9 E-MAILED	
Feb. 14, 2020	NOTICE OF SUIT	
Nov. 07, 2019	NOTICE OF SUIT	
Dec. 13, 2018	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Dec. 13, 2018	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Oct. 04, 2018	NOTICE OF SUIT	
May 04, 2018	NOTICE OF SUIT	
Dec. 28, 2017	NOTICE OF SUIT	
May 05, 2017	NOTICE OF SUIT	
May 05, 2017	NOTICE OF SUIT	
Oct. 26, 2016	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Oct. 26, 2016	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Mar. 17, 2016	NOTICE OF SUIT	
Mar. 27, 2015	NOTICE OF SUIT	
Feb. 25, 2015	NOTICE OF SUIT	
Oct. 13, 2014	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Dec. 17, 2013	NOTICE OF SUIT	
Mar. 11, 2011	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	73376
Mar. 11, 2011	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Feb. 14, 2011	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	73376
Mar. 11, 2011	REVIEW OF CORRESPONDENCE COMPLETE	73376
Mar. 11, 2011	REVIEW OF CORRESPONDENCE COMPLETE	73376
Feb. 14, 2011	TEAS SECTION 8 & 9 RECEIVED	
Jun. 16, 2008	NOTICE OF SUIT	
Feb. 12, 2008	NOTICE OF SUIT	
Jul. 21, 2007	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	73376

Jul. 17, 2007	ASSIGNED TO PARALEGAL	73376
Jun. 22, 2007	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
Jun. 22, 2007	TEAS SECTION 8 & 15 RECEIVED	
Dec. 28, 2006	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Nov. 19, 2004	COUNTERCLAIM OPP. NO. 999999	154223
Jun. 26, 2001	REGISTERED-PRINCIPAL REGISTER	
Apr. 03, 2001	PUBLISHED FOR OPPOSITION	
Mar. 21, 2001	NOTICE OF PUBLICATION	
Dec. 07, 2000	APPROVED FOR PUB - PRINCIPAL REGISTER	
Sep. 05, 2000	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Jun. 20, 2000	NON-FINAL ACTION MAILED	
Jun. 05, 2000	ASSIGNED TO EXAMINER	67512
May 25, 2000	ASSIGNED TO EXAMINER	77655

## TM Staff and Location Information

### TM Staff Information - None

#### File Location

Current Location: GENERIC WEB UPDATE

Date in Location: Mar. 11, 2011

## Proceedings

### Summary

Number of Proceedings: 3

### Type of Proceeding: Opposition

Proceeding Number: [91193161](#)

Filing Date: Dec 23, 2009

Status: Terminated

Status Date: Jan 12, 2011

Interlocutory Attorney: CHERYL S GOODMAN

#### Defendant

Name: That's So Cool, LLC

Correspondent Address: LAWRENCE E APOLZON  
FROSS ZELNICK LEHRMAN & ZISSU PC  
866 UNITED NATIONS PLZ  
NEW YORK NY UNITED STATES , 10017-1822

Correspondent e-mail: [rbecker@frosszelnick.com](mailto:rbecker@frosszelnick.com)

### Associated marks

Mark	Application Status	Serial Number	Registration Number
THE WINDOW	Abandoned - After Inter-Partes Decision	<a href="#">77497846</a>	

Name: Microsoft Corporation

Correspondent Address: WILLIAM O FERRON JR  
SEED IP LAW GROUP PLLC  
701 FIFTH AVENUE, SUITE 5400  
SEATTLE WA UNITED STATES , 98104

Correspondent e-mail: [billf@seedip.com](mailto:billf@seedip.com)

### Associated marks

Mark	Application Status	Serial Number	Registration Number
WINDOWS	REGISTERED AND RENEWED	<a href="#">74090419</a>	<a href="#">1872264</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">74413669</a>	<a href="#">1989386</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">75868853</a>	<a href="#">2463509</a>

WINDOWS	REGISTERED AND RENEWED	<a href="#">75879977</a>	<a href="#">2463526</a>
WINDOWS MEDIA	REGISTERED AND RENEWED	<a href="#">75517786</a>	<a href="#">2635678</a>
WINDOWS MEDIA	Cancelled - Section 8	<a href="#">75663200</a>	<a href="#">2528008</a>
WINDOWS MOBILE	Cancelled - Section 8	<a href="#">78243466</a>	<a href="#">2988040</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">75702468</a>	<a href="#">2559402</a>

**Prosecution History**

Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Dec 23, 2009	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Dec 23, 2009	Feb 01, 2010
3	PENDING, INSTITUTED	Dec 23, 2009	
4	STIPULATION FOR AN EXTENSION OF TIME	Jan 27, 2010	
5	EXTENSION OF TIME GRANTED	Jan 27, 2010	
6	STIPULATION FOR AN EXTENSION OF TIME	Mar 25, 2010	
7	EXTENSION OF TIME GRANTED	Mar 25, 2010	
8	STIP TO SUSPEND PEND SETTLEMENT NEGOTNS	May 05, 2010	
9	SUSPENDED	May 05, 2010	
10	STIPULATION FOR AN EXTENSION OF TIME	Nov 19, 2010	
11	EXTENSION OF TIME GRANTED	Nov 19, 2010	
12	WITHDRAWAL OF APPLICATION	Jan 07, 2011	
13	BOARD'S DECISION: SUSTAINED	Jan 12, 2011	
14	TERMINATED	Jan 12, 2011	

**Type of Proceeding: Opposition**

Proceeding Number: [91181481](#)

Filing Date: Dec 21, 2007

Status: Terminated

Status Date: Sep 25, 2009

Interlocutory Attorney: CHERYL S GOODMAN

**Defendant**

Name: Finjan Software Ltd.

Correspondent Address: Leon Medzhibovsky, Esq.  
DLA Piper US LLP  
500 Eighth Street, N.W.  
Washington DC UNITED STATES , 20004

Correspondent e-mail: [leon.m@dlapiper.com](mailto:leon.m@dlapiper.com)

**Associated marks**

Mark	Application Status	Serial Number	Registration Number
WINDOW OF VULNERABILITY	Abandoned - After Inter-Partes Decision	<a href="#">78549686</a>	

**Plaintiff(s)**

Name: Microsoft Corporation

Correspondent Address: William O. Ferron, Jr.  
Seed Intellectual Property Law Group PLLC  
701 Fifth Avenue, Suite 5400  
Seattle WA UNITED STATES , 98104

Correspondent e-mail: [billf.docketing@seedip.com](mailto:billf.docketing@seedip.com) , [litalc@seedip.com](mailto:litalc@seedip.com)

**Associated marks**

Mark	Application Status	Serial Number	Registration Number
WINDOWS MEDIA	Cancelled - Section 8	<a href="#">75663200</a>	<a href="#">2528008</a>
WINDOWS MEDIA	REGISTERED AND RENEWED	<a href="#">75517785</a>	<a href="#">2601424</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">74716365</a>	<a href="#">2005901</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">75371267</a>	<a href="#">2212784</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">75868853</a>	<a href="#">2463509</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">75868854</a>	<a href="#">2463510</a>



WINDOWS	REGISTERED AND RENEWED	<a href="#">75879977</a>	<a href="#">2463526</a>
WINDOWS XP	REGISTERED AND RENEWED	<a href="#">75982727</a>	<a href="#">2640353</a>
WINDOWS XP	REGISTERED AND RENEWED	<a href="#">75982782</a>	<a href="#">2640357</a>
WINDOWS XP	Cancelled - Section 8	<a href="#">78043951</a>	<a href="#">2691662</a>
WINDOWS MEDIA	REGISTERED AND RENEWED	<a href="#">75517786</a>	<a href="#">2635678</a>
WINDOWS XP	Cancelled - Section 8	<a href="#">78043950</a>	<a href="#">2705442</a>
WINDOWS XP	Cancelled - Section 8	<a href="#">78043949</a>	<a href="#">2710133</a>
WINDOWS XP	Cancelled - Section 8	<a href="#">78043952</a>	<a href="#">2789690</a>
WINDOWS POWERED	Cancelled - Section 8	<a href="#">75811226</a>	<a href="#">2729524</a>
WINDOWS MOBILE	Cancelled - Section 8	<a href="#">78243466</a>	<a href="#">2988040</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">74090419</a>	<a href="#">1872264</a>
WINDOWS	Cancelled - Section 8	<a href="#">74274174</a>	<a href="#">1875069</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">74413669</a>	<a href="#">1989386</a>
WINDOWS	Cancelled - Section 8	<a href="#">75573286</a>	<a href="#">2513051</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">75980682</a>	<a href="#">2565965</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">75702468</a>	<a href="#">2559402</a>

**Prosecution History**

Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Dec 21, 2007	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Dec 21, 2007	Jan 30, 2008
3	PENDING, INSTITUTED	Dec 21, 2007	
4	ANSWER	Jan 29, 2008	
5	P'S MOT FOR EXTEN. OF TIME W/ CONSENT	Mar 24, 2008	
6	EXTENSION OF TIME GRANTED	Apr 22, 2008	
7	P'S MOT FOR EXTEN. OF TIME W/ CONSENT	Apr 24, 2008	
8	EXTENSION OF TIME GRANTED	May 07, 2008	
9	P'S MOT FOR EXTEN. OF TIME W/ CONSENT	Jun 30, 2008	
10	EXTENSION OF TIME GRANTED	Jul 10, 2008	
11	D'S APPEARANCE OF COUNSEL/POWER OF ATTORNEY	Aug 25, 2008	
12	D'S MOT FOR EXTEN. OF TIME W/ CONSENT	Aug 25, 2008	
13	EXTENSION OF TIME GRANTED	Aug 27, 2008	
14	STIPULATION FOR AN EXTENSION OF TIME	Oct 22, 2008	
15	SUSPENDED	Oct 27, 2008	
16	STIPULATION FOR AN EXTENSION OF TIME	May 21, 2009	
17	EXTENSION OF TIME GRANTED	May 21, 2009	
18	EXTENSION OF TIME GRANTED	May 21, 2009	
19	P'S MOT FOR EXTEN. OF TIME W/ CONSENT	Jul 22, 2009	
20	EXTENSION OF TIME GRANTED	Jul 27, 2009	
21	WITHDRAWAL OF OPPOSITION	Sep 23, 2009	
22	BOARD'S DECISION: DISMISSED	Sep 25, 2009	
23	TERMINATED	Sep 25, 2009	

**Type of Proceeding: Opposition**

Proceeding Number: [91154223](#)

Filing Date: Nov 25, 2002

Status: Terminated

Status Date: Sep 22, 2004

Interlocutory Attorney: CHERYL A BUTLER

**Defendant**

Name: Lindows, Inc.

Correspondent Address: MICHAEL S. UMANSKY, ESQ.  
LINDOWS, INC.  
9333 GENESSE AVENUE, SUITE 300  
SAN DIEGO CA UNITED STATES , 92121

**Associated marks**

<b>Mark</b>	<b>Application Status</b>	<b>Serial Number</b>	<b>Registration Number</b>
LINDOWS.COM	Abandoned - After Inter-Partes Decision	<a href="#">76317536</a>	

**Plaintiff(s)**

**Name:** Microsoft Corporation

**Correspondent** William O. Ferron, Jr.  
**Address:** SEED Intellectual Property Law Group, PLLC  
701 Fifth Avenue, Suite 6300  
Seattle WA UNITED STATES , 98104-7092

**Associated marks**

<b>Mark</b>	<b>Application Status</b>	<b>Serial Number</b>	<b>Registration Number</b>
WINDOWS	REGISTERED AND RENEWED	<a href="#">75879977</a>	<a href="#">2463526</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">74090419</a>	<a href="#">1872264</a>
WINDOWS NT	REGISTERED AND RENEWED	<a href="#">74212523</a>	<a href="#">1955219</a>
WINDOWS CE	REGISTERED AND RENEWED	<a href="#">75184734</a>	<a href="#">2147260</a>
WINDOWS	Cancelled - Section 8	<a href="#">74274174</a>	<a href="#">1875069</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">74413669</a>	<a href="#">1989386</a>
WINDOWS ME	Cancelled - Section 8	<a href="#">75888922</a>	<a href="#">2559770</a>
WINDOWS MEDIA	Cancelled - Section 8	<a href="#">75663200</a>	<a href="#">2528008</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">74716365</a>	<a href="#">2005901</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">75371267</a>	<a href="#">2212784</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">75868853</a>	<a href="#">2463509</a>
WINDOWS	REGISTERED AND RENEWED	<a href="#">75868854</a>	<a href="#">2463510</a>

**Prosecution History**

<b>Entry Number</b>	<b>History Text</b>	<b>Date</b>	<b>Due Date</b>
1	FILED AND FEE	Nov 25, 2002	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Jan 06, 2003	Feb 15, 2003
3	PENDING, INSTITUTED	Jan 06, 2003	
4	NOTICE OF DEFAULT	Mar 24, 2003	
5	APPLICANT'S ANSWER, COUNTERCLAIM AND AMENDED INSERT TO DISCLAIMER.	Apr 23, 2003	
6	COUNTERCLAIM AND FEE	May 03, 2003	
7	D'S REVO OF POA	Apr 23, 2003	
8	D'S MOT TO SET ASIDE ENTRY OF DEFAULT	Apr 23, 2003	
9	ANSWER, COUNTERCLAIM TO CANCEL, FEE	Apr 23, 2003	
10	BD ORDER TO SHOW CAUSE IS DISCHARGE, NOT OF DEFAULT IS SET ASIDE.	Jun 24, 2003	
11	PL ANSWER TO CC FOR CANC	Jun 02, 2003	
12	D'S MOT TO STRIKE PL'S DEFENSE	Jun 24, 2003	
13	Stipulated abandonment of appl and withdrawal of opp.	Aug 09, 2004	
14	Bd's order application 76317536 stands abandon; CC is dismissed w/ prej. and Opp is dismissed w/ prej	Aug 11, 2004	
15	WITHDRAWAL OF APPLICATION	Aug 06, 2004	
16	Stipulated withdrawal of application and dismissal of proceeding	Aug 12, 2004	
17	TERMINATED	Sep 22, 2004	
18	BD'S DECISION: DISMISSED W/ PREJUDICE	Sep 22, 2004	
19	TERMINATED	Sep 27, 2004	

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Mark: W



US Serial Number: 77886830

Application Filing Date: Dec. 04, 2009

US Registration Number: 3909143

Registration Date: Jan. 18, 2011

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: A Sections 8 and 15 combined declaration has been accepted and acknowledged.

Status Date: Dec. 29, 2016

Publication Date: Apr. 27, 2010

Notice of Allowance Date: Jun. 22, 2010

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## Mark Information

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Mark Literal Elements: W

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of a box with a rounded upper left corner containing the letter "W" and an image of a sheet of paper.

Color(s) Claimed: Color is not claimed as a feature of the mark.

Design Search Code(s): 20.03.09 - Tablets, paper, Paper, stacks of sheets; Paper, note; Pads, Writing

26.09.20 - Squares inside one another

26.09.21 - Squares that are completely or partially shaded

26.09.25 - Squares with curved sides

26.11.21 - Rectangles that are completely or partially shaded

26.11.25 - Rectangles with one or more curved sides

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## Related Properties Information

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International Registration Number: 1024082

International Application(s) /Registration(s) Based on this Property: A0018041/1024082

Claimed Ownership of US Registrations: 3135906, 3360914

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## Foreign Information

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Priority Claimed: Yes

Foreign Application Number: 2009/11,168

Foreign SOUTH AFRICA

Foreign Application Filing Date: Jun. 18, 2009

Application/Registration  
Country:

## Goods and Services

**Note:**

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((...)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks \*..\* identify additional (new) wording in the goods/services.

**For:** word processing software; computer programs for creating, editing, sharing, storing and printing documents comprised of text and graphics and utility programs for use therewith

**International Class(es):** 009 - Primary Class

**U.S Class(es):** 021, 023, 026, 036, 038

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Nov. 18, 2009

**Use in Commerce:** Nov. 18, 2009

## Basis Information (Case Level)

<b>Filed Use:</b> No	<b>Currently Use:</b> Yes
<b>Filed ITU:</b> Yes	<b>Currently ITU:</b> No
<b>Filed 44D:</b> Yes	<b>Currently 44E:</b> No
<b>Filed 44E:</b> No	<b>Currently 66A:</b> No
<b>Filed 66A:</b> No	<b>Currently No Basis:</b> No
<b>Filed No Basis:</b> No	

## Current Owner(s) Information

**Owner Name:** Microsoft Corporation

**Owner Address:** One Microsoft Way  
Redmond, WASHINGTON UNITED STATES 980526399

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** WASHINGTON

## Attorney/Correspondence Information

### Attorney of Record

**Attorney Name:** Matthew E. Moersfelder

**Docket Number:** 25936-T1077

**Attorney Primary Email Address:** [mstm@dwt.com](mailto:mstm@dwt.com)

**Attorney Email Authorized:** Yes

### Correspondent

**Correspondent Name/Address:** Matthew E. Moersfelder  
Davis Wright Tremaine LLP  
920 Fifth Avenue, Suite 3300  
Seattle, WASHINGTON UNITED STATES 98104-1610

**Phone:** 206-757-8014

**Fax:** 206-757-7014

**Correspondent e-mail:** [mstm@dwt.com](mailto:mstm@dwt.com) [Docket-MSTM@dwt.com](mailto:Docket-MSTM@dwt.com) [brenda.nixdorf@dwt.com](mailto:brenda.nixdorf@dwt.com) [maryhadley@dwt.com](mailto:maryhadley@dwt.com)

**Correspondent e-mail Authorized:** Yes

**Domestic Representative - Not Found**

## Prosecution History

Date	Description	Proceeding Number
May 28, 2020	NOTICE OF SUIT	
May 13, 2020	NOTICE OF SUIT	
May 05, 2020	NOTICE OF SUIT	
Mar. 10, 2020	NOTICE OF SUIT	
Jan. 18, 2020	COURTESY REMINDER - SEC. 8 (10-YR)/SEC. 9 E-MAILED	



Aug. 09, 2019	NOTICE OF SUIT	
Jun. 27, 2019	NOTICE OF SUIT	
Dec. 13, 2018	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Dec. 13, 2018	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Oct. 16, 2018	NOTICE OF SUIT	
Jan. 08, 2018	NOTICE OF SUIT	
Nov. 29, 2017	NOTICE OF SUIT	
Jul. 06, 2017	NOTICE OF SUIT	
Jul. 06, 2017	NOTICE OF SUIT	
Apr. 06, 2017	NOTICE OF SUIT	
Apr. 06, 2017	NOTICE OF SUIT	
Dec. 29, 2016	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Dec. 29, 2016	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	76873
Dec. 23, 2016	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	76873
Nov. 29, 2016	NOTICE OF SUIT	
Nov. 29, 2016	NOTICE OF SUIT	
Oct. 26, 2016	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Oct. 26, 2016	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Oct. 14, 2016	TEAS SECTION 8 & 15 RECEIVED	
Sep. 20, 2016	NOTICE OF SUIT	
Sep. 20, 2016	NOTICE OF SUIT	
Jun. 08, 2016	NOTICE OF SUIT	
Jun. 08, 2016	NOTICE OF SUIT	
Jan. 18, 2016	COURTESY REMINDER - SEC. 8 (6-YR) E-MAILED	
Apr. 29, 2015	NOTICE OF SUIT	
Dec. 31, 2014	NOTICE OF SUIT	
Jul. 30, 2014	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Jan. 18, 2011	REGISTERED-PRINCIPAL REGISTER	
Dec. 14, 2010	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Dec. 13, 2010	LAW OFFICE REGISTRATION REVIEW COMPLETED	73787
Dec. 13, 2010	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Nov. 24, 2010	STATEMENT OF USE PROCESSING COMPLETE	71034
Nov. 10, 2010	USE AMENDMENT FILED	71034
Nov. 24, 2010	CASE ASSIGNED TO INTENT TO USE PARALEGAL	71034
Nov. 10, 2010	TEAS STATEMENT OF USE RECEIVED	
Jun. 22, 2010	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Apr. 27, 2010	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Apr. 27, 2010	PUBLISHED FOR OPPOSITION	
Mar. 25, 2010	LAW OFFICE PUBLICATION REVIEW COMPLETED	73787
Mar. 25, 2010	APPROVED FOR PUB - PRINCIPAL REGISTER	
Mar. 25, 2010	DATA MODIFICATION COMPLETED	73787
Mar. 25, 2010	ASSIGNED TO LIE	73787
Mar. 23, 2010	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Mar. 23, 2010	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Mar. 23, 2010	TEAS RESPONSE TO SUSPENSION INQUIRY RECEIVED	
Mar. 08, 2010	NOTIFICATION OF LETTER OF SUSPENSION E-MAILED	6332
Mar. 08, 2010	LETTER OF SUSPENSION E-MAILED	6332
Mar. 08, 2010	SUSPENSION LETTER WRITTEN	76153
Mar. 08, 2010	ASSIGNED TO EXAMINER	76153
Dec. 12, 2009	NOTICE OF DESIGN SEARCH CODE MAILED	
Dec. 11, 2009	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Dec. 08, 2009	NEW APPLICATION ENTERED IN TRAM	

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## TM Staff and Location Information

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TM Staff Information - None

Current Location: TMO LAW OFFICE 112

**File Location**

Date in Location: Dec. 29, 2016

Generated on: This page was generated by TSDR on 2020-09-18 18:59:48 EDT

Mark: MICROSOFT

US Serial Number: 78190864

Application Filing Date: Dec. 03, 2002

US Registration Number: 2872708

Registration Date: Aug. 10, 2004

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: The registration has been renewed.

Status Date: Aug. 27, 2014

Publication Date: Aug. 05, 2003

Notice of Allowance Date: Oct. 28, 2003

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## Mark Information

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Mark Literal Elements: MICROSOFT

Standard Character Claim: No

Mark Drawing Type: 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

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## Related Properties Information

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Claimed Ownership of US Registrations: 1200236, 1256083, 1259874

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## Goods and Services

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**Note:**

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks \*..\* identify additional (new) wording in the goods/services.

For: Installation, maintenance and repair of computer networks and computer systems consisting of software

International Class(es): 037 - Primary Class

U.S Class(es): 100, 103, 106

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 05, 1987

Use in Commerce: Jan. 05, 1987

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## Basis Information (Case Level)

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Filed Use: No

Currently Use: Yes

Filed ITU: Yes  
 Filed 44D: No  
 Filed 44E: No  
 Filed 66A: No  
 Filed No Basis: No

Currently ITU: No  
 Currently 44E: No  
 Currently 66A: No  
 Currently No Basis: No

## Current Owner(s) Information

**Owner Name:** Microsoft Corporation  
**Owner Address:** One Microsoft Way  
 Redmond, WASHINGTON UNITED STATES 980526399  
**Legal Entity Type:** CORPORATION  
**State or Country Where Organized:** WASHINGTON

## Attorney/Correspondence Information

**Attorney of Record**  
**Attorney Name:** Matthew E. Moersfelder  
**Docket Number:** 25936-T309  
**Attorney Primary Email Address:** [mstm@dwt.com](mailto:mstm@dwt.com)  
**Attorney Email Authorized:** Yes

**Correspondent**  
**Correspondent Name/Address:** Matthew E. Moersfelder  
 Davis Wright Tremaine LLP  
 920 Fifth Avenue, Suite 3300  
 Seattle, WASHINGTON UNITED STATES 98104-1610  
**Phone:** 206-757-8014  
**Fax:** 206-757-7014  
**Correspondent e-mail:** [mstm@dwt.com](mailto:mstm@dwt.com) [Docket-MSTM@dwt.com](mailto:Docket-MSTM@dwt.com) [brenda.nixdorf@dwt.com](mailto:brenda.nixdorf@dwt.com) [maryhadley@dwt.com](mailto:maryhadley@dwt.com)  
**Correspondent e-mail Authorized:** Yes

**Domestic Representative - Not Found**

## Prosecution History

Date	Description	Proceeding Number
Feb. 14, 2020	NOTICE OF SUIT	
Nov. 07, 2019	NOTICE OF SUIT	
Dec. 13, 2018	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Dec. 13, 2018	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Oct. 04, 2018	NOTICE OF SUIT	
May 04, 2018	NOTICE OF SUIT	
Dec. 28, 2017	NOTICE OF SUIT	
May 05, 2017	NOTICE OF SUIT	
May 05, 2017	NOTICE OF SUIT	
Mar. 17, 2016	NOTICE OF SUIT	
Oct. 30, 2015	NOTICE OF SUIT	
Oct. 30, 2015	NOTICE OF SUIT	
Mar. 27, 2015	NOTICE OF SUIT	
Feb. 25, 2015	NOTICE OF SUIT	
Aug. 27, 2014	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Aug. 27, 2014	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	77315
Aug. 27, 2014	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	77315
Aug. 25, 2014	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	77315
Aug. 08, 2014	TEAS SECTION 8 & 9 RECEIVED	
Dec. 17, 2013	NOTICE OF SUIT	
Jan. 07, 2011	NOTICE OF SUIT	
Sep. 15, 2010	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Jan. 11, 2010	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	75461
Jan. 11, 2010	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	75461

Jan. 07, 2010 TEAS SECTION 8 & 15 RECEIVED  
 Dec. 28, 2006 TEAS CHANGE OF CORRESPONDENCE RECEIVED  
 Aug. 10, 2004 REGISTERED-PRINCIPAL REGISTER  
 May 21, 2004 ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED  
 May 14, 2004 ASSIGNED TO EXAMINER 72617  
 Apr. 28, 2004 STATEMENT OF USE PROCESSING COMPLETE  
 Apr. 28, 2004 USE AMENDMENT FILED  
 Apr. 28, 2004 TEAS STATEMENT OF USE RECEIVED  
 Oct. 28, 2003 NOA MAILED - SOU REQUIRED FROM APPLICANT  
 Aug. 05, 2003 PUBLISHED FOR OPPOSITION  
 Jul. 16, 2003 NOTICE OF PUBLICATION  
 Jun. 02, 2003 APPROVED FOR PUB - PRINCIPAL REGISTER  
 May 30, 2003 ASSIGNED TO EXAMINER 72617

## TM Staff and Location Information

### TM Staff Information - None

#### File Location

Current Location: GENERIC WEB UPDATE

Date in Location: Aug. 27, 2014

## Proceedings

### Summary

Number of Proceedings: 1

### Type of Proceeding: Opposition

Proceeding Number: [91182961](#)

Filing Date: Mar 12, 2008

Status: Terminated

Status Date: May 06, 2009

Interlocutory Attorney: MICHAEL B ADLIN

#### Defendant

Name: David A. Eppert d/b/a Microsaft Beverages

Correspondent Address: CLIFFORD W. VERMETTE  
 VERMETTE & CO.  
 SUITE 320 - 1177 WEST HASTINGS STREET  
 VANCOUVER, BRITISH COLUMBIA CANADA , V6E2K3

Correspondent e-mail: [ip@vermetteco.com](mailto:ip@vermetteco.com)

### Associated marks

Mark	Application Status	Serial Number	Registration Number
MICROSAUFT	Abandoned - After Inter-Partes Decision Plaintiff(s)	<a href="#">77065716</a>	
Name: Microsoft Corporation			
Correspondent Address: William O. Ferron, Jr. Seed IP Law Group PLLC 701 Fifth Avenue, Suite 5400 Seattle WA UNITED STATES , 98104			
Correspondent e-mail: <a href="mailto:BillF.docketing@SeedIP.com">BillF.docketing@SeedIP.com</a> , <a href="mailto:litcal@SeedIP.com">litcal@SeedIP.com</a>			

### Associated marks

Mark	Application Status	Serial Number	Registration Number
MICROSOFT	REGISTERED AND RENEWED	<a href="#">73236080</a>	<a href="#">1200236</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">73373992</a>	<a href="#">1256083</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">74184611</a>	<a href="#">1684033</a>

MICROSOFT	REGISTERED AND RENEWED	<a href="#">74158490</a>	<a href="#">1673353</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">74159819</a>	<a href="#">1682075</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">74158500</a>	<a href="#">1683069</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">74159825</a>	<a href="#">1683585</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">74159822</a>	<a href="#">1685083</a>
MICROSOFT	Cancelled - Section 8	<a href="#">74159750</a>	<a href="#">1685234</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">74184095</a>	<a href="#">1689468</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">74158489</a>	<a href="#">1715596</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">74158497</a>	<a href="#">1715749</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">74158481</a>	<a href="#">1715836</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">74159821</a>	<a href="#">1731160</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">74590997</a>	<a href="#">1966382</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">75237883</a>	<a href="#">2163597</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">75351662</a>	<a href="#">2198153</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">75351672</a>	<a href="#">2198154</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">75351757</a>	<a href="#">2198155</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">75351760</a>	<a href="#">2198156</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">75358289</a>	<a href="#">2250973</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">75180620</a>	<a href="#">2285870</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">75351663</a>	<a href="#">2337072</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">78041944</a>	<a href="#">2637360</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">78174763</a>	<a href="#">2843964</a>
MICROSOFT	REGISTERED AND RENEWED	<a href="#">78190864</a>	<a href="#">2872708</a>

**Prosecution History**

Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Mar 12, 2008	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Mar 12, 2008	Apr 21, 2008
3	PENDING, INSTITUTED	Mar 12, 2008	
4	D'S MOTION FOR AN EXTENSION OF TIME	Apr 18, 2008	
5	D'S MOTION TO W/D MOTI TO ENLARGE PERIOD RESP TO NOT. OF OPP	Apr 21, 2008	
6	STIPULATION FOR AN EXTENSION OF TIME	Apr 21, 2008	
7	EXTENSION OF TIME GRANTED	Apr 28, 2008	
8	D'S MOTION FOR A MORE DEFINITE STATEMENT	May 21, 2008	
9	P'S OPPOSITION/RESPONSE TO MOTION	Jun 05, 2008	
10	PAPER RECEIVED AT TTAB	Jun 05, 2008	
11	STIPULATION FOR AN EXTENSION OF TIME	Jun 11, 2008	
12	EXTENSION OF TIME GRANTED	Jun 11, 2008	
13	STIPULATION FOR AN EXTENSION OF TIME	Jun 11, 2008	
14	PAPER RECEIVED AT TTAB	Jun 16, 2008	
15	P'S OPPOSITION/RESPONSE TO MOTION	Jun 30, 2008	
16	TRIAL DATES RESET	Jul 03, 2008	
17	ANSWER	Aug 01, 2008	
18	STIP PROTECTIVE ORDER	Oct 17, 2008	
19	STIPULATION NOTED AND APPROVED	Nov 13, 2008	
20	STIPULATION FOR AN EXTENSION OF TIME	Jan 16, 2009	
21	EXTENSION OF TIME GRANTED	Jan 16, 2009	
22	P'S MOTION TO COMPEL DISCOVERY	Jan 26, 2009	
23	P'S DEC. IN SUPPORT OF P'S MOT FOR DEFAULT	Jan 26, 2009	
24	SUSPENDED PENDING DISP OF OUTSTNDNG MOT	Jan 27, 2009	
25	RESPONSE DUE 30 DAYS (DUE DATE)	Mar 03, 2009	Apr 02, 2009
26	P'S MOTION FOR JUDGMENT	Apr 08, 2009	
27	P'S DEC OF FARRON SUPPORT ENTRY OF JUDGMENT	Apr 08, 2009	
28	P'S MOTION TO SUSP	Apr 08, 2009	
29	BOARD'S DECISION: SUSTAINED	May 06, 2009	



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Mark: OUTLOOK

# OUTLOOK

US Serial Number: 85467641

Application Filing Date: Nov. 08, 2011

US Registration Number: 4255129

Registration Date: Dec. 04, 2012

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: A Sections 8 and 15 combined declaration has been accepted and acknowledged.

Status Date: Nov. 06, 2018

Publication Date: Jun. 19, 2012

Notice of Allowance Date: Aug. 14, 2012

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## Mark Information

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Mark Literal Elements: OUTLOOK

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

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## Related Properties Information

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International Registration Number: 1107047

International Application(s)/Registration(s) Based on this Property: A0027391/1107047

Claimed Ownership of US Registrations: 2188125

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## Goods and Services

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**Note:**

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((...)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks \*...\* identify additional (new) wording in the goods/services.

For: Cloud computing featuring software for use in email, calendaring, contacts management and accessing remotely stored data for such applications; Providing temporary use of on-line non-downloadable software and applications for email, calendaring, and contacts management; Providing technical information in the field of computer software and cloud computing

International Class(es): 042 - Primary Class

U.S Class(es): 100, 101

Class Status: ACTIVE

Basis: 1(a)

First Use: Mar. 02, 2008

Use in Commerce: Mar. 02, 2008

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## Basis Information (Case Level)

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Filed Use: No	Currently Use: Yes
Filed ITU: Yes	Currently ITU: No
Filed 44D: No	Currently 44E: No
Filed 44E: No	Currently 66A: No
Filed 66A: No	Currently No Basis: No
Filed No Basis: No	

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## Current Owner(s) Information

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**Owner Name:** Microsoft Corporation  
**Owner Address:** One Microsoft Way  
Redmond, WASHINGTON UNITED STATES 980526399  
**Legal Entity Type:** CORPORATION **State or Country Where Organized:** WASHINGTON

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## Attorney/Correspondence Information

---

<b>Attorney of Record</b>	
<b>Attorney Name:</b> Matthew E. Moersfelder	<b>Docket Number:</b> 25936-T7
<b>Attorney Primary Email Address:</b> <a href="mailto:mstm@dwt.com">mstm@dwt.com</a>	<b>Attorney Email Authorized:</b> Yes
<b>Correspondent</b>	
<b>Correspondent Name/Address:</b> Matthew E. Moersfelder Davis Wright Tremaine LLP 920 Fifth Avenue, Suite 3300 Seattle, WASHINGTON UNITED STATES 98104-1610	
<b>Phone:</b> 206-757-8014	<b>Fax:</b> 206-757-7014
<b>Correspondent e-mail:</b> <a href="mailto:mstm@dwt.com">mstm@dwt.com</a> <a href="mailto:Docket-MSTM@dwt.com">Docket-MSTM@dwt.com</a> <a href="mailto:brenda.nixdorf@dwt.com">brenda.nixdorf@dwt.com</a> <a href="mailto:maryhadley@dwt.com">maryhadley@dwt.com</a>	<b>Correspondent e-mail Authorized:</b> Yes

**Domestic Representative - Not Found**

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## Prosecution History

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Date	Description	Proceeding Number
May 22, 2020	NOTICE OF SUIT	
Dec. 13, 2018	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Dec. 13, 2018	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Nov. 06, 2018	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Nov. 06, 2018	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	68335
Nov. 06, 2018	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	68335
Oct. 19, 2018	TEAS SECTION 8 & 15 RECEIVED	
Dec. 04, 2017	COURTESY REMINDER - SEC. 8 (6-YR) E-MAILED	
Oct. 26, 2016	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Oct. 26, 2016	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Feb. 25, 2015	NOTICE OF SUIT	
Jul. 30, 2014	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Dec. 04, 2012	REGISTERED-PRINCIPAL REGISTER	
Nov. 02, 2012	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Nov. 01, 2012	LAW OFFICE REGISTRATION REVIEW COMPLETED	66213
Nov. 01, 2012	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Oct. 31, 2012	STATEMENT OF USE PROCESSING COMPLETE	66154
Oct. 30, 2012	USE AMENDMENT FILED	66154
Oct. 31, 2012	CASE ASSIGNED TO INTENT TO USE PARALEGAL	66154
Oct. 30, 2012	TEAS STATEMENT OF USE RECEIVED	

Aug. 14, 2012	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jun. 19, 2012	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jun. 19, 2012	PUBLISHED FOR OPPOSITION	
May 30, 2012	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
May 16, 2012	LAW OFFICE PUBLICATION REVIEW COMPLETED	66213
May 15, 2012	ASSIGNED TO LIE	66213
Apr. 30, 2012	APPROVED FOR PUB - PRINCIPAL REGISTER	
Apr. 19, 2012	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Apr. 18, 2012	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Apr. 18, 2012	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Feb. 27, 2012	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Feb. 27, 2012	NON-FINAL ACTION E-MAILED	6325
Feb. 27, 2012	NON-FINAL ACTION WRITTEN	82438
Feb. 24, 2012	ASSIGNED TO EXAMINER	82438
Nov. 14, 2011	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Nov. 11, 2011	NEW APPLICATION ENTERED IN TRAM	

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## TM Staff and Location Information

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### TM Staff Information - None

#### File Location

Current Location: TMEG LAW OFFICE 103

Date in Location: Nov. 06, 2018

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Mark: MICROSOFT

MICROSOFT

US Serial Number: 87047436

Application Filing Date: May 23, 2016

US Registration Number: 5449084

Registration Date: Apr. 17, 2018

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Apr. 17, 2018

Publication Date: Jul. 26, 2016

Notice of Allowance Date: Sep. 20, 2016

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## Mark Information

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Mark Literal Elements: MICROSOFT

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

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## Related Properties Information

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International Registration Number: 1318242

International Application(s) /Registration(s) Based on this Property: A0059214/1318242

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## Goods and Services

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**Note:**

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((...)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks \*..\* identify additional (new) wording in the goods/services.

**For:** Computer peripherals; computer keyboards; computer mice; Computer programs for wallet-sized personal computers, namely, personal information manager programs with calendars, contact information files and to do lists; note taking programs; programs for facilitating voice, text and pen input; electronic mail program; access programs for global communication networks; programs for wireless communications; computer programs for use in developing other programs for use on wallet-sized personal computers; computer programs for use with phones and pagers; computer programs for accessing global communication networks and displaying content therefrom; computer programs for use with hand-held computers, namely, operating system and utility programs; business application programs for use with hand-held computers; Computer operating system programs and utilities; computer application software for wireless telecommunications for use with wireless devices; computer software to enable uploading, downloading, accessing, posting, displaying, tagging, blogging, streaming, linking, sharing or otherwise providing electronic media or information via computer and communication networks; software for allowing communication and interaction between phones, mobile devices, televisions, video game consoles, media players, computers, digital media hubs, and audiovisual devices; computer software for use by computer network administrators to deploy and manage application software and network server software; computer network server software for managing user content on computer networks and global computer networks; computer software for managing secure

communications over computer networks and global computer networks; computer software for developing, managing and operating intranet sites; computer network operating software and utilities; computer software development tools for network servers and applications; computer software for inventorying and monitoring computer hardware and software assets and use within an organization; computer application programs and operating system programs for use with communications servers; computer programs for managing communications and data exchange between computers and electronic devices; operating systems software for use in playing electronic games; computer hardware and peripherals; computer mice and wireless computer mice; wireless communications devices, namely, mobile phones, cellular telephones, personal digital assistants, and hand-held computers; hardware for telecommunications for connecting devices via in-home phone and electrical wiring, namely, computer networks hubs, computer servers, set-top boxes, computer switches and computer routers designed to provide in-home voice over Internet protocol (VoIP) communications; computer and video game systems devices, namely, electronic sensor devices, cameras, projectors, headphones, and microphones; electronic game equipment, namely, equipment communicating with a television or computer for playing electronic games; Computer software for virtual reality visualization, manipulation, immersion and integration of audio, video, text, binary, still images, graphics and multimedia files; wearable computers; wearable computer peripherals; virtual reality headsets for use in visualization, manipulation, immersion and integration of audio, video, text, binary, still images, graphics and multimedia files; computer peripherals for mobile devices for remotely accessing and transmitting data; computer peripherals for displaying data and video; computer software, namely, software for setting up, operating, configuring, and controlling wearable computer hardware and wearable computer peripherals; apparatus for recording, transmission or reproduction of sound, images, or data; electronic and optical communications instruments and components, namely, display screens for virtual reality visualization, manipulation, immersion and integration of audio, video, text, binary, still images, graphics and multimedia files; 3D spectacles; hologram apparatus; holographic apparatus for projecting holographic video, still images, graphics and multimedia files

**International Class(es):** 009 - Primary Class

**U.S Class(es):** 021, 023, 026, 036, 038

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Nov. 12, 1975

**Use in Commerce:** Nov. 12, 1975

### Basis Information (Case Level)

**Filed Use:** Yes

**Currently Use:** Yes

**Filed ITU:** Yes

**Currently ITU:** No

**Filed 44D:** No

**Currently 44E:** No

**Filed 44E:** No

**Currently 66A:** No

**Filed 66A:** No

**Currently No Basis:** No

**Filed No Basis:** No

### Current Owner(s) Information

**Owner Name:** Microsoft Corporation

**Owner Address:** One Microsoft Way  
Redmond, WASHINGTON UNITED STATES 980526399

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** WASHINGTON

### Attorney/Correspondence Information

#### Attorney of Record

**Attorney Name:** Matthew E. Moersfelder

**Docket Number:** 25936-T311

**Attorney Primary Email Address:** [mstm@dwt.com](mailto:mstm@dwt.com)

**Attorney Email Authorized:** Yes

#### Correspondent

**Correspondent Name/Address:** Matthew E. Moersfelder  
Davis Wright Tremaine LLP  
920 Fifth Avenue, Suite 3300  
Seattle, WASHINGTON UNITED STATES 98104-1610

**Phone:** 206-757-8014

**Fax:** 206-757-7014

**Correspondent e-mail:** [mstm@dwt.com](mailto:mstm@dwt.com) [Docket-MSTM@dwt.com](mailto:Docket-MSTM@dwt.com) [brenda.nixdorf@dwt.com](mailto:brenda.nixdorf@dwt.com) [maryhadley@dwt.com](mailto:maryhadley@dwt.com)

**Correspondent e-mail Authorized:** Yes

**Domestic Representative - Not Found**

### Prosecution History

Date	Description	Proceeding Number
May 22, 2020	NOTICE OF SUIT	

Dec. 13, 2018	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Dec. 13, 2018	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Sep. 14, 2018	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Sep. 13, 2018	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Apr. 17, 2018	REGISTERED-PRINCIPAL REGISTER	
Mar. 16, 2018	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Mar. 15, 2018	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Mar. 15, 2018	STATEMENT OF USE PROCESSING COMPLETE	74055
Mar. 12, 2018	USE AMENDMENT FILED	74055
Mar. 12, 2018	TEAS STATEMENT OF USE RECEIVED	
Sep. 27, 2017	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Sep. 26, 2017	EXTENSION 2 GRANTED	74055
Sep. 14, 2017	EXTENSION 2 FILED	74055
Sep. 26, 2017	CASE ASSIGNED TO INTENT TO USE PARALEGAL	74055
Sep. 14, 2017	TEAS EXTENSION RECEIVED	
Mar. 07, 2017	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Mar. 03, 2017	EXTENSION 1 GRANTED	98765
Mar. 03, 2017	EXTENSION 1 FILED	98765
Mar. 03, 2017	TEAS EXTENSION RECEIVED	
Sep. 20, 2016	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jul. 26, 2016	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jul. 26, 2016	PUBLISHED FOR OPPOSITION	
Jul. 06, 2016	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jun. 17, 2016	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 15, 2016	ASSIGNED TO EXAMINER	69195
May 31, 2016	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
May 26, 2016	NEW APPLICATION ENTERED IN TRAM	

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## TM Staff and Location Information

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### TM Staff Information - None

#### File Location

**Current Location:** PUBLICATION AND ISSUE SECTION

**Date in Location:** Mar. 15, 2018

# **APPENDIX C**

**Registration #:** TX0008888365  
**Service Request #:** 1-9117545811



Microsoft Corporation  
Elaine Peterson  
One Microsoft Way  
Redmond, WA 98052 United States





# Certificate of Registration



This Certificate issued under the seal of the Copyright Office in accordance with title 17, *United States Code*, attests that registration has been made for the work identified below. The information on this certificate has been made a part of the Copyright Office records.



*Maie Strong*  
Acting United States Register of Copyrights and Director

Registration Number

**TX 8-888-365**

Effective Date of Registration:

August 12, 2020

Registration Decision Date:

August 12, 2020

## Title

Title of Work: Windows 8 SDK

## Completion/Publication

Year of Completion: 2012  
Date of 1st Publication: November 15, 2012  
Nation of 1<sup>st</sup> Publication: United States

## Author

• Author: Microsoft Corporation  
Author Created: computer program  
Work made for hire: Yes  
Citizen of: United States

## Copyright Claimant

Copyright Claimant: Microsoft Corporation  
One Microsoft Way, Redmond, WA, 98052, United States

## Limitation of copyright claim

Material excluded from this claim: computer program, previous version

New material included in claim: computer program, revised version

## Certification

Name: Dave Green  
Date: August 12, 2020  
Applicant's Tracking Number: CPT-0055